Case: 1:18-cv-01219-CAB Doc #: 1-1 Filed: 05/29/18 1 of 104. PageID #: 9

EXHIBIT A

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(54) LIGHT-GENERATING SYSTEM

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(21) Appl. No.: 12/813,160

(22) Filed: Jun. 10, 2010

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- (51) **Int. Cl. G05F 1/00** (2006.01) **H01L 27/14** (2006.01)
- (52) **U.S. Cl.** **315/309**; 315/291; 315/247; 315/185 S; 315/312; 362/800; 362/373; 362/546; 362/547

See application file for complete search history.

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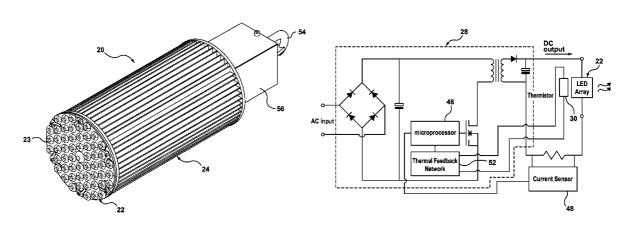
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Primary Examiner — Tuyet Thi Vo

(57) ABSTRACT

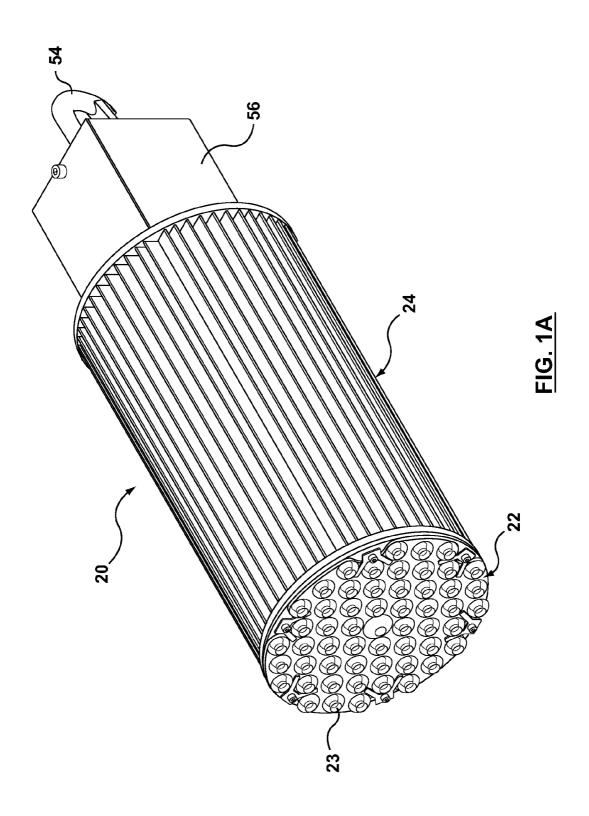
A light-generating system including a LED load array having a number of light-emitting diodes, and a heat sink on which the LED load array is mounted. The system also includes a power control unit electrically connected to the power source and the LED load array, for converting input electrical power to output electrical power and controlling voltage and current of the output electrical power provided to the LED load array, and a temperature detector subassembly adapted for sensing a heat sink temperature of the heat sink. The temperature detector subassembly monitors the heat sink temperature and transmits a control signal to the power control unit upon determining that the heat sink temperature differs from a preselected temperature by more than a preselected minimum difference. Upon receipt of the control signal, the power control unit changes the voltage of the output electrical power accordingly.

8 Claims, 11 Drawing Sheets



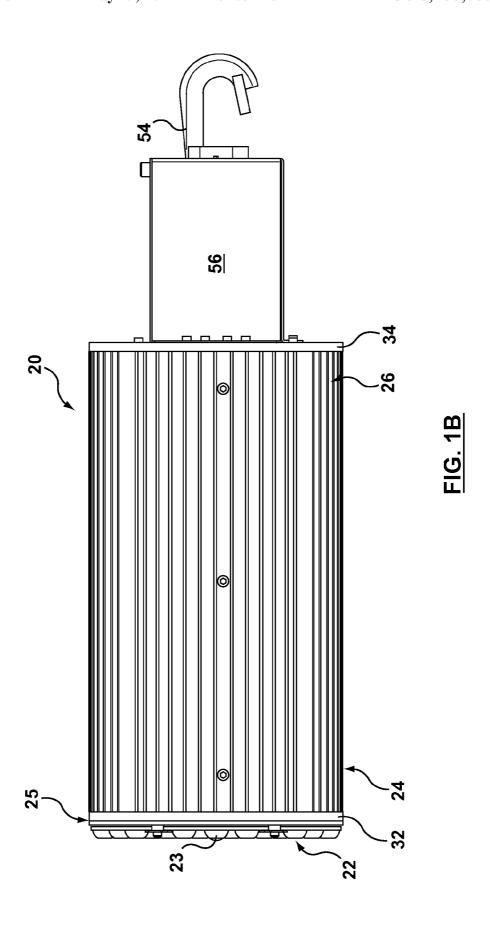
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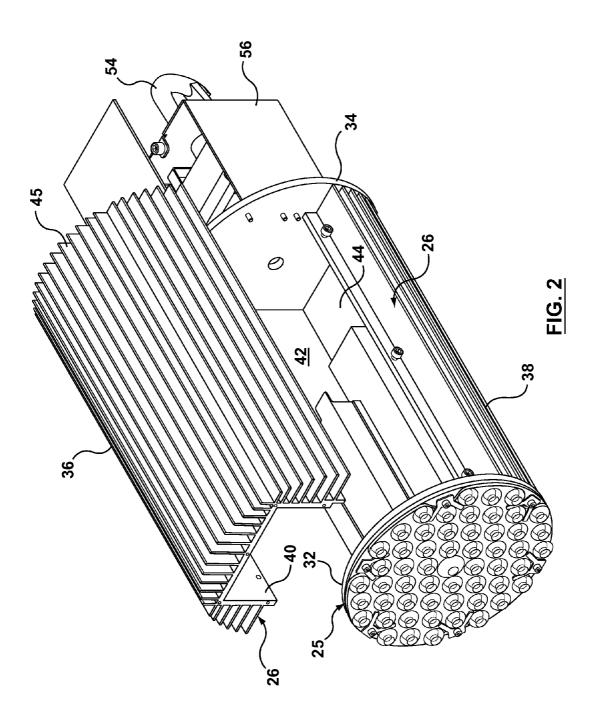
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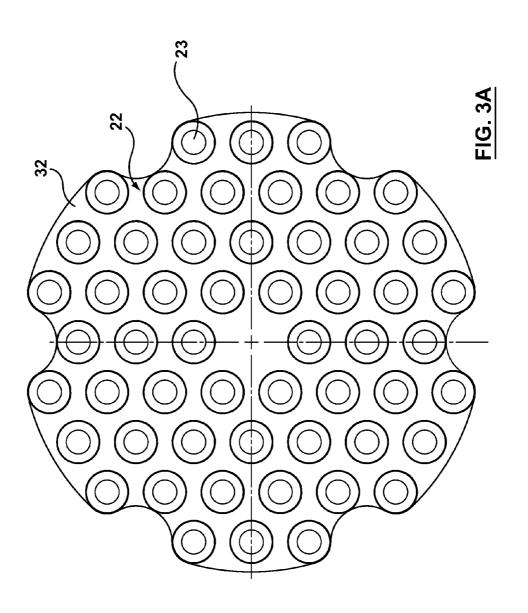
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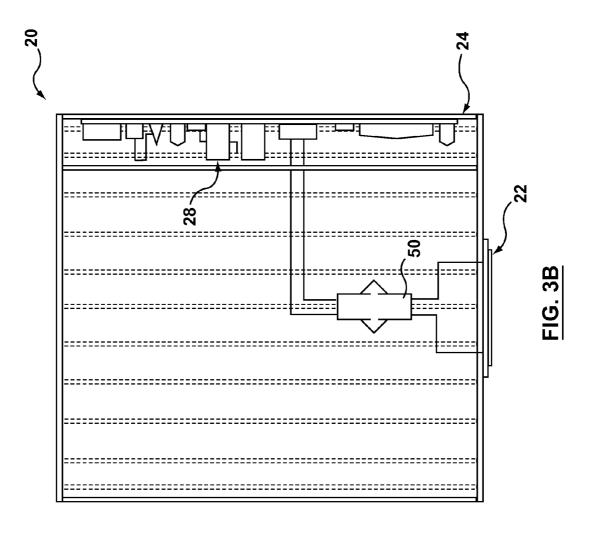
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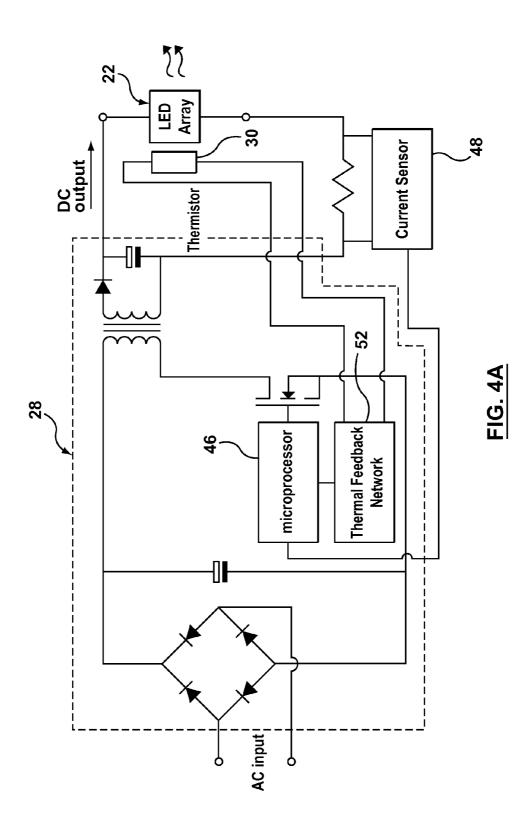
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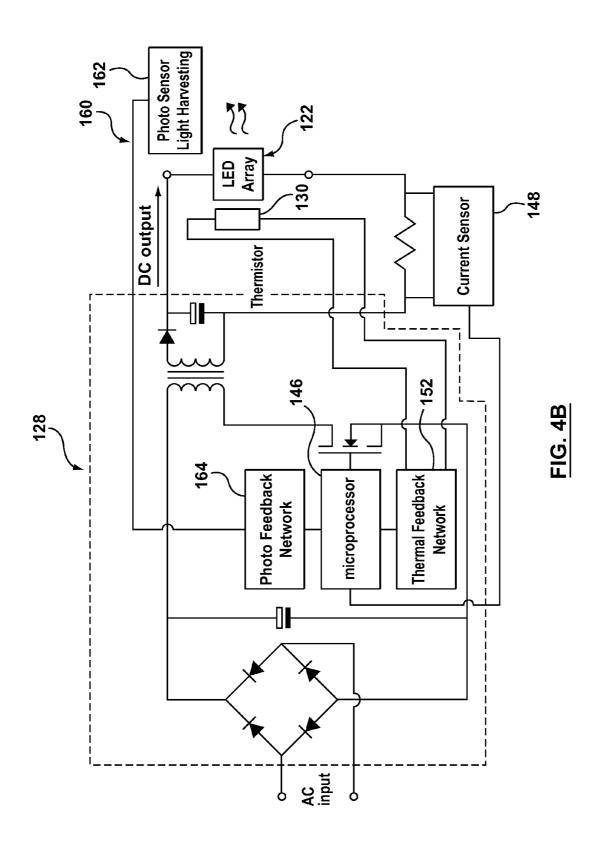
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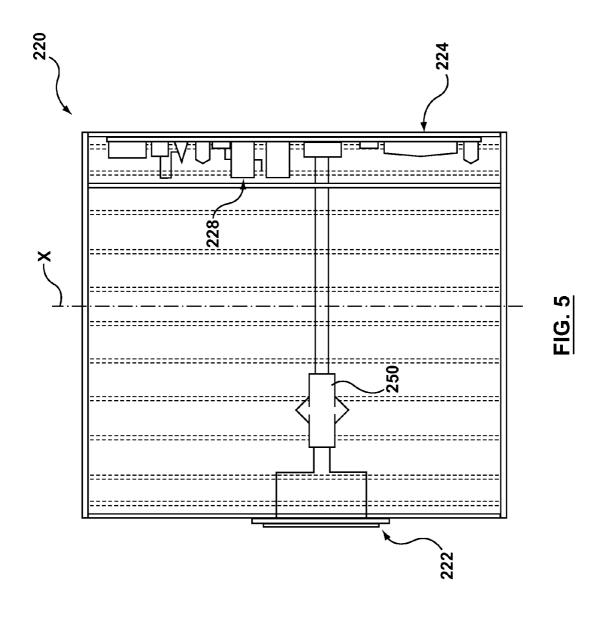
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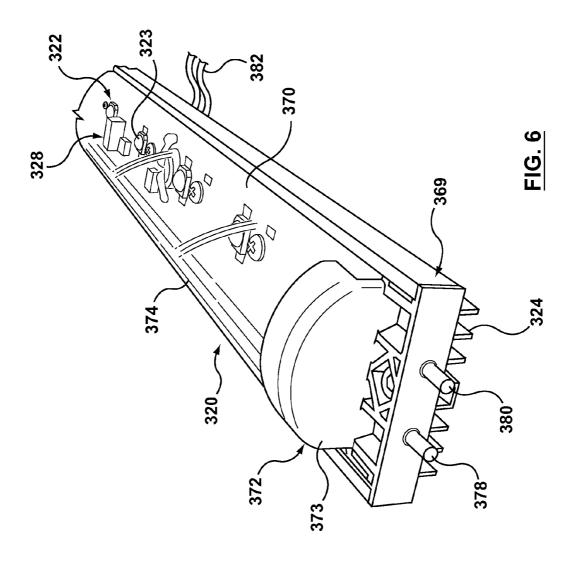
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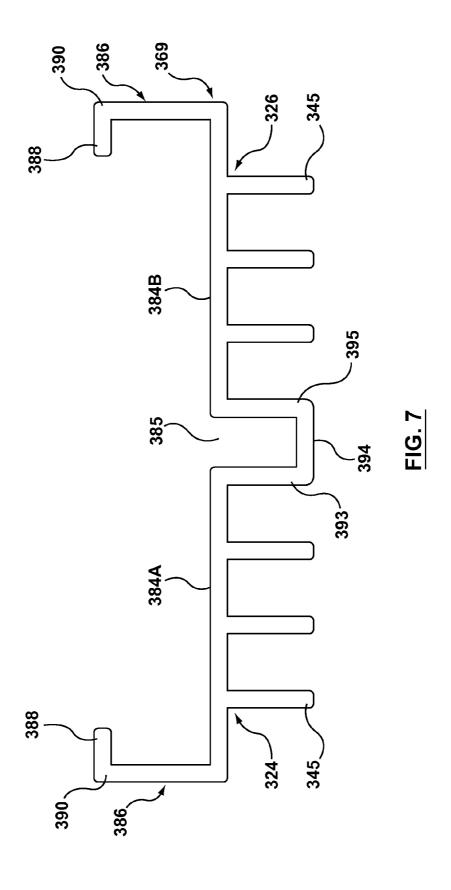
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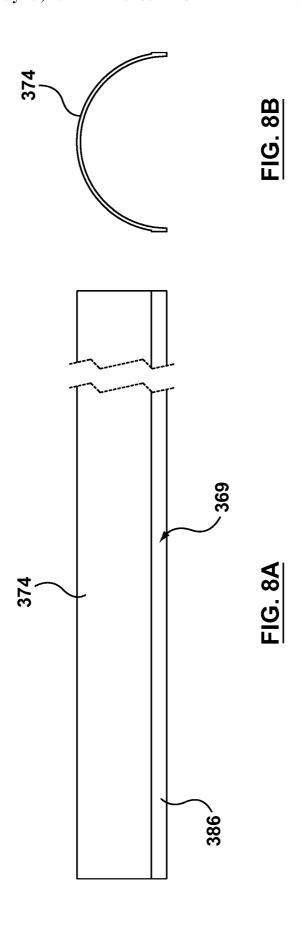
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1 LIGHT-GENERATING SYSTEM

This application claims the benefit of U.S. Provisional Patent Application No. 61/185,731, filed Jun. 10, 2009, and incorporates such provisional application in its entirety by ⁵ reference.

FIELD OF THE INVENTION

The present invention is a light-generating system.

BACKGROUND OF THE INVENTION

As is well known in the art, light-emitting diodes ("LEDs") are more efficient than incandescent light bulbs, i.e., more 15 light is produced per watt by an LED than by an incandescent bulb. Other known electrically-powered light sources, e.g., fluorescent light bulbs, have a number of disadvantages, as is well known in the art. For example, fluorescent light bulbs are required to be replaced relatively frequently. Where they are 20 used in streetlights, this can involve significant expenses.

LEDs have a number of additional advantages. However, as is well known in the art, LEDs require relatively close control of voltage and current and also heat management. For example, because current through the LED is dependent 25 exponentially on voltage, voltage should be closely controlled. Also, the voltage supplied should be sufficient, at a minimum, to cause current to flow in the proper direction (i.e., from p-type to n-type material). In addition, the ambient temperature of the operating environment can significantly 30 affect the performance of LEDs.

Although LEDs have certain advantages when compared to incandescent or fluorescent bulbs (e.g., lower power consumption, and longer operating time) LEDs also have certain disadvantages, as noted above.

SUMMARY OF THE INVENTION

For the foregoing reasons, there is a need for an improved light-generating system, in which one or more of the disadvantages of the prior art are addressed or mitigated.

In its broad aspect, the invention provides a light-generating system for consuming input electrical power from a power source to generate light. The light-generating system includes a LED load array with a plurality of light-emitting 45 diodes, the light-emitting diodes having an operating temperature when energized, and a heat sink on which the LED load array is mounted, the heat sink being adapted for absorbing and dissipating heat energy generated by the light-emitting diodes when energized. The heat sink includes a body 50 portion to which the light-emitting diodes are attached, and a finned portion connected to the body portion, for dissipation of heat energy transferred thereto at least partially via conduction from the light-emitting diodes, to cool the lightemitting diodes. The system also includes a power control 55 unit electrically connected to the power source and the LED load array, for converting the input electrical power to an output electrical power and controlling voltage and current of the output electrical power provided by the power control unit to the LED load array. In addition, the system includes a 60 temperature detector subassembly operatively connected to the power control unit, the temperature detector subassembly being adapted for sensing a heat sink temperature of the heat sink at one or more predetermined locations thereon.

The temperature detector subassembly is adapted to compare the heat sink temperature to one or more preselected temperatures within a preselected temperature range, and to

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transmit a control signal to the power control unit upon determining that the heat sink temperature differs from said at least one preselected temperature by more than a preselected minimum difference.

Upon receipt of the control signal, the power control unit changes the voltage of the output electrical power, to modulate the performance of the light-emitting diodes such that the heat sink temperature is within the preselected temperature range.

In one of its aspects, the preselected temperature includes an upper limit preselected temperature, and the preselected minimum difference includes an upper limit minimum difference.

In another aspect, upon the temperature detector subassembly determining that the heat sink temperature is greater than the upper limit preselected temperature by at least the upper limit minimum difference, the temperature detector subassembly sends the control signal to the power control unit, for causing the power control unit to decrease the output electrical power to lower the heat sink temperature until the heat sink temperature is within the preselected temperature range.

In yet another aspect, the control signal causes the voltage of the output electrical power to decrease to an extent required by the control signal.

In yet another of its aspects, the power control unit of the invention includes a microprocessor and a current sensor, for determining current data associated with the output electrical power and communicating the current data to the microprocessor.

In another aspect, the invention additionally includes an ambient light controller for controlling the electrical power provided to the LED load array, in indirect proportion to ambient light intensity.

BRIEF DESCRIPTION OF THE DRAWINGS

The invention will be better understood with reference to the attached drawings, in which:

FIG. 1A is an isometric view of an embodiment of a light-generating system of the invention;

FIG. 1B is a side view of the light-generating system of FIG. 1A:

FIG. **2** is an exploded view of the light-generating system of FIG. **1A**;

FIG. **3**A is a plan view of a bottom plate subassembly of the light-generating system of FIG. **1**A, drawn at a larger scale;

FIG. 3B is a schematic illustration of the light-generating system of FIG. 1A;

FIG. **4**A is a schematic diagram illustrating the light-generating system of FIGS. **1**A-**3**;

FIG. 4B is a schematic diagram illustrating an alternative embodiment of the light-generating system of the invention;

FIG. 5 is a schematic illustration of another alternative embodiment of the light-generating system of the invention;

FIG. 6 is an isometric view of an alternative embodiment of the light-generating system of the invention, drawn at a smaller scale;

FIG. 7 is an end view of a base of the light-generating system of FIG. 6, drawn at a larger scale;

FIG. **8**A is a side view of selected elements of the light-generating system of FIG. **6**, drawn at a smaller scale; and

FIG. 8B is an end view of a cover of the light-generating system of FIG. 6, drawn at a larger scale.

DETAILED DESCRIPTION

In this specification and in the claims that follow, "LED" means a light-emitting diode. In the attached drawings, like

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reference numerals designate corresponding elements throughout. Reference is first made to FIGS. 1A-4A to describe an embodiment of a light-generating system of the invention indicated generally by the numeral 20. The lightgenerating system 20 is for consuming input electrical power 5 from a power source (not shown) to generate light. Preferably, the light-generating system 20 includes a LED load array 22 with a number of light-emitting diodes 23, which have an operating temperature when energized. The system 20 also preferably includes a heat sink 24 on which the LED load 10 array 22 is mounted. The heat sink 24 is adapted for absorbing and dissipating heat energy generated by the light-emitting diodes 23, when they are energized. It is preferred that the heat sink 24 includes a body portion 25 to which the lightemitting diodes 23 are attached, and a finned portion 26 15 connected to the body portion 25, for dissipation of heat energy transferred thereto at least partially via conduction from the light-emitting diodes 23, to cool the light-emitting diodes 23. In addition, the system 20 preferably includes a power control unit 28 electrically connected to the power 20 source and the LED load array 22, for converting the input electrical power to an output electrical power, and for controlling voltage and current of the output electrical power provided by the power control unit 28 to the LED load array 22. In one embodiment, the system 20 preferably also 25 includes a temperature detector subassembly 30 operatively connected to the power control unit 28. The temperature detector subassembly 30 is adapted for sensing a heat sink temperature of the heat sink 24 at a predetermined location thereon. As will be described, the temperature detector sub- 30 assembly 30 preferably is also adapted to compare the heat sink temperature to one or more preselected temperatures within a preselected temperature range, and to transmit a control signal to the power control unit 28 upon determining that the heat sink temperature differs from the preselected 35 temperature by one or more preselected minimum differences. Upon receipt of the control signal, the power control unit 28 changes the voltage of the output electrical power, to modulate the performance of the light-emitting diodes so that the heat sink temperature is within the preselected tempera- 40 ture range.

As can be seen in FIGS. 1A-3A, in one embodiment, the body portion 25 of the heat sink 24 preferably includes first and second end plates 32, 34 to which the finned portion 26 is attachable (FIG. 2). Preferably, the end plates 32, 34 are 45 substantially round in plan view thereof, with substantially the same diameter. As shown in FIG. 2, it is preferred that the finned portion 26 includes first and second parts 36, 38 which, when attached to the end plates 32, 34, define a generally cylindrical shape overall. Each of the first and second parts 50 36, 38 includes an interior surface 40, 42 (FIG. 2). It will be understood that, when the heat sink 24 is assembled, the interior surfaces 40, 42 and the end plates 32, 34 at least partially define a cavity 44 in which certain elements (e.g., the power control unit 28) are positionable. For instance, as sche- 55 matically illustrated in FIG. 3B, the power control unit 28 preferably is mounted on one or more of the interior surfaces 40, 42.

The heat sink 24 preferably includes any suitable heat dissipation means. For example, depending on the application, one or more heat pipes may be suitable. However, it is preferred that the heat sink 24 includes fins 45 which extend outwardly from the finned portion 26 for heat exchange with the ambient atmosphere, to dissipate heat to the ambient atmosphere. Preferably, the fins 32 are formed for optimal 65 heat transfer characteristics. It will be appreciated by those skilled in the art that the heat sink preferably is made of

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material with relatively high thermal conductivity. For example, the heat sink 24 preferably is made of extruded aluminum

It is preferred that the power control unit 28 includes a microprocessor 46 and a current sensor 48, for determining current data associated with the output electrical power and communicating the current data to the microprocessor 46 (FIG. 4A).

The power control unit 28 preferably provides a current-regulated and voltage-regulated power supply to the LED load array 22. Preferably, the components of the power control unit 28 are included in a highly integrated single power/controller board design (i.e., a printed circuit board ("PCB")), which is a microprocessor-based control system that fits within the heat sink 24. In one embodiment, the power control unit 28 and other elements of the light-generating system 20 preferably are adapted for 50 W or 100 W rated output, as selected by the user. Preferably, the power control unit 28 is set for either 50 W or 100 W when it is manufactured. This arrangement reduces manufacturing costs. Other possible power outputs will occur to those skilled in the art.

It is also preferred that the system 20 includes a connector 50, for electrically connecting the LED load array 22 to the power control unit 28. The connector 50 preferably is adapted for quick connection and disconnection, to permit relatively quick replacement of a used LED load array 22.

The light-generating system 20 preferably includes continuous diagnostics for supply, lamp load condition and system functionality. The power measurement and control capability of the power control unit 28 leads to a relatively longer operational life of the LED load array 22.

Preferably, the microprocessor 46 is for monitoring and controlling various aspects of the performance of the power control unit 28 and/or the light-generating system 20 overall. In particular, the microprocessor 46 receives data about the current and voltage provided to the LED load array 22, compares such measured current and voltage to rated current and voltage, and initiates appropriate action if the measured current and voltage vary from the rated values therefor by more than predetermined differences respectively. Preferably, the output electrical power is controlled via pulse width modulation. It is preferred that voltage is monitored within the microprocessor 46. Current preferably is sensed by the sensor 48, and data in this regard is provided to the microprocessor 46. The microprocessor 46 then directs the pulse lengths accordingly. The microprocessor 46 continuously processes such data at very short time intervals.

The LED driver 28 sets the voltage applied to the LED load array 22 in a variable fashion, where the microprocessor 46 adjusts the voltage slightly up or down within a given range in order to sense the predetermined current flow. The current flow is measured by a very low resistance power resistor (usually 0.1 Ohms) sensing a very small voltage drop across it (in milli-volts). This measurement is then amplified and put on a feedback to the microprocessor 46 so that it can adjust the potential of the pulses it feeds the output to attempt to match the pre-determined voltage drop across the current sensing resistor.

From the foregoing, it can be seen that the power control unit 28 is used to control the voltage applied to the LED load array 22. However, unlike traditional supplies, an absolute output voltage is not set, but instead the applied voltage is set relative to the drop across a current-sensing resistor, as described above.

In addition, the light-generating system 20 has an overall power efficiency of at least 86 percent, a relatively high efficiency. (Prior art units typically average from 70 percent to 75

5 percent power efficiency.) The light-generating system 20 preferably has the full operational input range of 85-480 VAC.

In one embodiment, the light-generating system 20 preferably is adapted to automatically shut itself down immediately upon sufficiently unusual conditions being detected. For 5 example, as the system 20 includes elements thereof adapted for measurement of current and voltage, if the current or voltage supplied is not within predetermined ranges, then the system 20 shuts down upon detection of the unusual conditions. If the applied voltage does not remain within its regulation parameters, then the system is shut down. Similarly, if the current supplied by the pulsing is insufficient to power the load, then the system 20 shuts itself down. Insufficient current may indicate that the system has a short circuit condition.

In addition, the light-generating system **20** preferably 15 includes an automatic recovery function, pursuant to which the system **20** reboots itself if necessary. For instance, in the event of a complete failure of the power supply to the light-generating system **20**, the system **20** reboots itself once the power supply is restored.

In one embodiment, the light generating system 20 preferably includes the temperature detector subassembly 30 (FIG. 4A) operatively connected to the power control unit 28 via a thermal feedback network 52 (FIG. 4A). Those skilled in the art will be aware that the temperature detector subassembly 25 may include various temperature-detecting elements. It is preferred that the temperature-detecting element in the temperature detector subassembly 30 is a thermistor. The temperature detector subassembly 30 is for sensing a temperature of the heat sink 24. If the temperature differs from a preselected temperature by more than a predetermined extent, then the thermistor 30 provides an increasing signal to the power control unit 28, for increasing the electrical power supplied to the LED load array 22, or the thermistor 30 provides a decreasing signal to the power control unit 28, for decreasing 35 the electrical power supplied to the LED load array 22, as the case may be. The thermistor 30 is adapted to take the ambient temperature into account. Because the thermistor 30 is included in the system 20, a somewhat smaller heat sink 24 may be used than otherwise would be selected for the light- 40 generating system.

It will be understood that, in practice, the location on the heat sink 24 at which the temperature thereof is detected preferably should be relatively proximal to the light-emitting diodes 23. For instance, it is preferred that the thermistor 30 is connected to the heat sink 24 for detection of the temperature thereof at the end plate 32. Those skilled in the art will appreciate that, in determining the temperatures which trigger the generation of control signals, the thermal conductivity of the heat sink 24 and the distance of the location at which 50 temperature is detected is from the light-emitting diodes is taken into account.

In general, the power control unit 28 is required to lower the temperature of the heat sink. In one embodiment, the preselected temperature is an upper limit preselected temperature. Also, it is preferred that the preselected minimum difference is, when compared to the upper limited preselected temperature, an upper limit minimum difference, and when the operating temperature is compared to the lower limit preselected temperature, a lower limit minimum difference.

Preferably, upon the temperature detector subassembly 30 determining that the heat sink temperature is greater than the upper limit preselected temperature by at least the upper limit minimum difference, the temperature detector subassembly 65 30 sends the control signal to the power control unit 28, to cause the power control unit 28 to decrease the output elec-

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trical power to lower the heat sink temperature until the heat sink temperature is within the preselected temperature range. The control signal preferably causes the voltage of the output electrical power to be decreased to an extent required by the control signal.

Preferably, upon the temperature detector subassembly 30 determining that the heat sink temperature is less than the lower limit preselected temperature by at least the lower limit minimum difference, the temperature detector subassembly sends the control signal to the power control unit 28, to cause the power control unit 28 to increase the output electrical power to raise the heat sink temperature until the heat sink temperature is within the preselected temperature range. The control signal preferably causes the voltage of the output electrical power to be increased to an extent required by the control signal.

It will be appreciated by those skilled in the art that the light-generating system 20 may be mounted in any suitable manner. Although many alternative arrangements are possible, in one embodiment, the system 20 preferably includes a hook element 54, to enable the system 20 to be suspended by the hook element 54 attached to a suitable part of a building or other structure (not shown). Input electrical power preferably is provided via any suitable means, e.g., a suitable insulated power cord (not shown). As can be seen in FIGS. 1A-2, the system 20 preferably includes a connection box 56 secured to the heat sink 24 in which the wiring (not shown) for connection with outside feed is located. In one embodiment, the hook element 54 is attached to the connection box 56. It is preferred that the system not be mounted directly into a standard socket (e.g., a socket for accepting an E39 base) due to a requirement for a standards body's approval of both the socket and the light-generating system mounted in such socket.

In use, the system 20 is positioned as required, e.g., suspended by the hook element 54, and the input electrical power is provided to the system 20 via any suitable means therefore. The light-emitting diodes preferably are fitted with one or more suitable lenses (not shown) for any particular application. For example, in view of the distance above a floor at which the light-emitting diodes are positioned, and the area to be illuminated, lenses with certain characteristics would be preferred. Once connected, the input electrical power is provided to the power control unit 28. The power control unit 28 controls the voltage and current of the electrical power which is provided to the LED load array, so that it is within the appropriate parameters for safe operation of the LED load array. Among other things, if the input electrical power is AC, then AC current is rectified (to DC current) by the power control unit. Once the LED load array is operating, the heat sink dissipates heat, to provide a temperature within a predetermined operating range of the LED load array.

As described above, the thermistor preferably provides signals to the power control unit 28 to control the voltage of the output electrical power provided to LED load array 22, in order to maintain the heat sink temperature within a predetermined range, in real time.

The light-generating system 20 is relatively robust, as all wiring and connectors are generally located inside the heat sink 24, except for the power cord or other wiring for connection to an external power source. The heat sink 24 preferably also acts as a heat sink for the power control unit 28. Preferably, the power control unit 28 is attached directly to an interior surface of the heat sink 24, and positioned in the cavity 44 inside the heat sink 24.

For reliability, it is preferred that the light-omitting diodes 23 are divided into a number of pairs of individual light-emitting diodes connected in series, and the pairs are con-

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nected in parallel. For example, in one embodiment, the LED load array 22 preferably includes 54 light-emitting diodes 23 divided into 27 pairs, and the 27 pairs are connected in parallel. This arrangement is intended to result in greater reliability. If one light-emitting diode fails, then only the other 5 light-emitting diode in that pair ceases to function as a result, because only such other light-emitting diode in that pair is connected in series to the failed light-emitting diode.

In one embodiment, the light-generating system 120 preferably additionally includes an ambient light controller 160 10 for controlling the electrical power supplied to the LED load array 122, in indirect proportion to ambient light (FIG. 4B). The ambient light controller 160 preferably includes an ambient light sensor 162 and a photo feedback network 164. The sensor 162 notifies the feedback network 164 of changes in 15 ambient light, and this data is provided to the microprocessor 146. The microprocessor 146 is adapted to compare ambient light levels to predetermined light levels and to determine whether additional electrical power is required to be provided to the LED load array 122 (i.e., if ambient light is below a 20 predetermined lower level) or, alternatively, whether the electrical power supplied to the LED load array 122 should be decreased (i.e., if ambient light is greater than a predetermined upper level). (It will be understood that "ambient light" to which a particular light-generating system 120 is exposed 25 would also include, for instance, light produced by the LEDs in other light-generating systems positioned nearby.) Preferably, the power control unit 128 (FIG. 2B) includes the pulse width modulator in the microprocessor 146 for "slowing down" (or, if necessary, "speeding up") the current provided 30 to the LED load array 122, thereby causing the LED load array 122 to provide less or more light, i.e., as required in indirect proportion to the ambient light.

In short, where the system of the invention includes an ambient light controller, the electrical power provided to the 35 LED load array via the power control unit is controlled, in indirect proportion to ambient light. Accordingly, the light-generating system 122 has complex dimming and startup functionality, thereby providing improved efficiency in mornings, evenings, and at other times when the ambient light is 40 changing.

An alternative embodiment of the light-generating system 220 of the invention is shown in FIG. 5. As can be seen in FIG. 5, in the light-generating system 220, a LED load array 222 is mounted on a side 268 of the heat sink 224. The light-generating system 220 is intended for use where the light fixture (not shown) in which the light-generating system is to be used positions the light-generating system so that light is directed from the light-generating system in a direction which is substantially transverse to the axis (identified as "X" in FIG. 5) 50 defined by the heat sink 224, e.g., for "cobra" style streetlights or parking lot lights.

An alternative embodiment of a light-generating system 320 of the invention is illustrated in FIGS. 6-8B. As can be seen in FIG. 6, the light-generating system 320 includes a 55 base 369 with a heat sink 324 on which a power control unit 328 (including a printed circuit board 370) is positioned. Preferably, the light-emitting diodes 323 in a LED load array 322 are positioned on the printed circuit board 370, at suitable intervals along the length of the base 369. The light-generating system 320 also includes end caps 372 positioned at each end of the base 369.

Each end cap 372 preferably includes a body portion 373 positioned to assist in holding a cover 374 in position. Preferably, each end cap 372 includes two prongs 378, 380 extending from the body portion 373. The prongs 378, 380 are formed to be receivable in the holes in a typical light fixture

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for a fluorescent light tube (not shown). It will be understood that the prongs **378**, **380** are made of an electrical insulator (e.g., a suitable plastic, such as polyethylene), and so the prongs **378**, **380** do not conduct electricity. The connection of the system **320** to a power source (not shown) preferably is via wires **382**.

Preferably, the light-generating system 320 is formed to fit into a conventional fluorescent tube light fixture. Accordingly, the heat sink 324 is elongate, and the LED load array 322 is positioned on the PCB 370 which preferably is secured directly to the heat sink 324 so that the LED load array 322 will direct light downwardly from the conventional light fixture, when the system 320 is positioned in the conventional fixture (not shown) and energized. As can be seen in FIG. 7, the base 369 preferably includes a finned portion 326, with fins 345 extending outwardly, for heat dissipation. The heat sink preferably includes substantially flat regions 384A, **384**B on which the PCB **370** is attachable. It is preferred that the base 369 also includes a channel 385 positioned centrally along the length of the base 369, between the flat regions 384A, 384B. The channel 385 is at least partially defined by walls 393, 394, 395 (FIG. 7). Certain elements of the power control unit 328 (e.g., the microprocessor) preferably are positioned in the channel 385, to protect such elements, and also for dissipation of heat therefrom. The light-emitting diodes 323 preferably are mounted on a strip (not shown) which preferably is also at least partially positioned in the channel 385, for dissipation of heat therefrom.

Preferably, the base 369 also includes side portions 386 with transverse parts 388 at ends 390 of the side portions 386. As can be seen in FIG. 6, the light-generating system 320 includes the substantially transparent cover 374 which is held in position on the heat sink 324 between the side portions 386. The cover 374 preferably is made of any suitable flexible transparent or translucent material such as those skilled in the art would be aware of. Preferably, the cover 374 is made of a suitable acrylic. However, if the system is to be used in a harsh environment, the cover 374 preferably is made of polycarbonate, which would withstand shocks better than acrylic.

It is also preferred that the cover 374 (also shown in FIGS. 8A and 8B) is formed so that it is subjected to tension when folded (as shown in FIG. 8B) and held between the side portions 386. Because the cover 374 is subjected to tension when it is held in this way between the side portions 386, the cover 374 is held in position when the light-generating system 320 is in position in the conventional fluorescent light tube fixture. The cover 374 is also partially held in place on the base 369 by the transverse parts 388, which engage the cover 374. (It will be understood that the end caps 372 are intentionally omitted from FIG. 8A for clarity of illustration.)

In operation, the light-generating system 320 functions generally the same as the system 20, described above. Input electrical power, if AC, is rectified by the power control unit 328, and the output electrical power is changed or modified by the power control unit 328 in response to information provided by a thermistor (not shown), which monitors temperature of the heat sink 324 at a predetermined location. Optionally, the light-generating system 320 may additionally include an ambient light controller (not shown), functioning as in the system 120, described above.

As noted above, the light-generating system 320 preferably is configured to fit into a conventional fluorescent light tube fixture, although the power supply is not provided via such fixture. It will be appreciated by those skilled in the art that the system 320 may be conveniently used to retrofit with LEDs, with minimal rewiring required.

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It will be appreciated by those skilled in the art that the invention can take many forms, and that such forms are within the scope of the invention as described above. The foregoing descriptions are exemplary, and their scope should not be limited to the preferred versions provided therein.

I claim:

- 1. A light-generating system for consuming input electrical power from a power source to generate light, the light-generating system comprising:
 - a LED load array comprising a plurality of light-emitting diodes, the light-emitting diodes having an operating temperature when energized;
 - a heat sink on which the LED load array is mounted, the heat sink being adapted for absorbing and dissipating heat energy generated by the light-emitting diodes when energized, the heat sink comprising:
 - a body portion to which the light-emitting diodes are attached:
 - a finned portion connected to the body portion, for dissipation of heat energy transferred thereto at least partially via conduction from the light-emitting diodes, to cool the light-emitting diodes;
 - a power control unit electrically connected to the power source and the LED load array, for converting the input electrical power to an output electrical power and controlling voltage and current of the output electrical power provided by the power control unit to the LED load array;
 - a temperature detector subassembly operatively connected to the power control unit, the temperature detector subassembly being adapted for sensing a heat sink temperature of the heat sink at least one predetermined location thereon;
 - the temperature detector subassembly being adapted to compare the heat sink temperature to at least one preselected temperature within a preselected temperature range, and to transmit a control signal to the power control unit upon determining that the heat sink temperature differs from said at least one preselected temperature by more than at least one preselected minimum difference; and

upon receipt of the control signal, the power control unit changes the voltage of the output electrical power, to 10

- modulate the performance of the light-emitting diodes such that the heat sink temperature is within the preselected temperature range.
- 2. A light-generating system according to claim 1 in which the power control unit comprises:
 - a microprocessor; and
 - a current sensor, for determining current data associated with the output electrical power and communicating the current data to the microprocessor.
- 3. A light-generating system according to claim 1 additionally comprising an ambient light controller for controlling the electrical power provided to the LED load array, in indirect proportion to ambient light.
 - 4. A light-generating system according to claim 1 in which: said at least one preselected temperature comprises an upper limit preselected temperature and a lower limit preselected temperature; and
 - said at least one preselected minimum difference comprises an upper limit minimum difference and a lower limit minimum difference.
- 5. A light-generating system according to claim 4 in which, upon the temperature detector subassembly determining that the heat sink temperature is greater than the upper limit preselected temperature by at least the upper limit minimum difference, the temperature detector subassembly sends the control signal to the power control unit, for causing the power control unit to decrease the output electrical power to lower the heat sink temperature until the heat sink temperature is within the preselected temperature range.
- **6**. A light-generating system according to claim **5** in which the control signal causes the voltage of the output electrical power to decrease to an extent required by the control signal.
- 7. A light-generating system according to claim 4 in which, upon the temperature detector subassembly determining that the heat sink temperature is less than the lower limit preselected temperature by at least the lower limit minimum difference, the temperature detector subassembly sends the control signal to the power control unit, for causing the power control unit to increase the output electrical power to raise the heat sink temperature until the heat sink temperature is within the preselected temperature range.
- 8. A light-generating system according to claim 7 in which the control signal causes the voltage of the output electrical power to increase to an extent required by the control signal.

* * * * *

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EXHIBIT B

From: Joe Casper <joecasper@ephesustech.com>

Sent: Tuesday, March 15, 2011 9:18 AM

To: John Johnston

Subject: Re: University and Electronics

Attachments: PastedGraphic-1.tiff

Hi John

March 31st or April 1st look good for me.

Joe

Joe Casper President and CEO



Syracuse Technology Garden 235 Harrison Street Syracuse, NY 13202 www.ephesustech.com

Office: (315) 474-0912 Cell: (315) 720-4727

On Mar 11, 2011, at 12:56 PM, John Johnston wrote:

Hi Joe,

Yes I would have loved to learn more. We had a full plate yesterday with both King+King and with a leasing company that is going to help our customers pay for their lights out of their energy and maintenance savings – it will make it easier for them to say YES!

Maybe we can get together the week of the 28th and possibly go meet C-Speed and/or see the Binghamton projects as well, let me know your schedule on Wednesday the 30th or Friday April 1st and what you would like to do and I can firm plans at my end.

I will be visiting Clarkson on Monday the 21st so I will be sure to mention your names.

Regards John

From: Joe Casper [mailto:joecasper@ephesustech.com]

Sent: Friday, March 11, 2011 12:26 PM

To: John Johnston

Subject: University and Electronics

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Hi John

It was a pleasure meeting with you yesterday. I wish we had more time to further our discussion on our projects we both are exploring...being just down the hallway will be great.

I am interested in exploring with you how we can collaborate to leverage you're lighting technology expertise and our nanotechnology electronics expertise to explore various opportunities and problems we are trying to solve.

I would like to share with you in more depth our flexible graphene project at Binghamton University for electronics and LED lighting applications. Also, share with you our board manufacture partner here in Syracuse and relationship with Clarkson University.

Joe

Joe Casper
President and CEO
<image001.gif>
Syracuse Technology Garden
235 Harrison Street
Syracuse, NY 13202
www.ephesustech.com
Office: (315) 474-0912
Cell: (315) 720-4727

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EXHIBIT C

MUTUAL CONFIDENTIALITY AGREEMENT

THIS AGREEMENT is made on 1st of April, 2011 (the "Effective Date"), between ProTerra LED International Inc., ("ProTerra"), an Ontario corporation with offices at 435 Elgin Street in Brantford, ON, N3S 7P5 and, Ephesus Technologies LLC ("Company"), with offices at 235 Harrison Street Syracuse new York and is effective as of the date set forth above. The parties acknowledge the following facts:

- A. ProTerra and Company wish to enter into discussions, review, analysis and negotiations related to their respective businesses to determine if there is sufficient mutual interest by the parties regarding a possible collaboration and/or business relationship in ProTerra LED lighting products ("the Project").
- B. In order to evaluate and, if appropriate, to proceed with the Project, each of the parties may disclose (the "Disclosing Party") to the other party (the "Receiving Party") certain Confidential Information (as defined below).

In consideration of the above premises and the covenants hereinafter set forth, the parties agree as follows:

- 1. Confidential Information. "Confidential Information" means information related to the business of the Disclosing Party which is proprietary and/or confidential to the Disclosing Party or its customers, suppliers or other business partners including, without limitation, technical and nontechnical data related to the designs, inventions, techniques, processes, prototypes, undisclosed patents pending, finances, business plans, actual or potential customers and suppliers, existing and future products and employees of the Disclosing Party whether marked confidential or not, in any form or media, together with all analyses, compilations, studies or documents prepared by the Receiving Party whether prepared or received before or after the Effective Date. The parties further agree that any information obtained by the Receiving Party by way of observation or study at the facilities of the Disclosing Party, and which does not otherwise fall within the exclusions of paragraph 2 below, shall be considered Confidential Information for the purposes of this Agreement. Confidential Information shall also specifically include the terms of this agreement and neither party shall disclose the existence of this Agreement or the Project other than as expressly agreed in writing. The Receiving Party shall not copy, in whole or in part, reverse engineer, decompile or disassemble any computer software that forms part of Confidential Information.
- 2. Exclusions from Confidential Information. The parties agree that, for the purposes of this Agreement, Confidential Information shall not include any information which the Receiving Party can establish: (i) is publicly known through no action on the Receiving Party's part; (ii) by competent written records was known by the Receiving Party prior to receipt from the Disclosing Party; (iii) has been rightfully received by the Receiving Party from a third party without restriction on disclosure and without breach of an obligation of confidentiality running directly or indirectly to the Disclosing Party; or (iv) by competent written records was independently developed by the Receiving Party without reference to, or reliance on, any information which would otherwise be Confidential Information hereunder. In addition, it shall not be considered a breach of the obligations of this Confidentiality Agreement if the Receiving Party discloses Confidential Information to that extent that such information: (a) has been approved for public release by the Disclosing Party's written authorization; or (b) is required to be disclosed by law, or to a competent court, government or regulatory body having the right to same.
- 3. Restrictions on Use. As consideration for having access to or receiving any Confidential Information, the Receiving Party agrees that it shall use the Confidential Information solely in furtherance of the Project and shall make the Confidential Information available solely to employees, agents or independent contractors of the Receiving Party (a) who are directly involved in performing the Project and have a specific need to know such information; and (b) whom the Receiving Party has obligated under a confidentiality agreement to hold the Confidential Information in trust and in strictest confidence. The Receiving Party shall use the same efforts to protect the confidentiality of the Confidential Information as it uses to protect its own Confidential Information and, in any event, no less than a reasonable standard of care. The Receiving Party shall not disclose or disseminate, or permit any of its employees to disclose or disseminate, the Confidential Information to any third party without the other's prior written consent.



- 4. Return of Confidential Information. Upon the termination of this Agreement, or at either party's request, the party in receipt of any Confidential Information shall, on request, deliver to the Disclosing Party all files, documents, computer programs and other media (and all copies and reproductions of any of the foregoing) in its possession or control to the extent same contain Confidential Information. Upon the request of either party, the Receiving Party shall certify in writing that all materials containing Confidential Information (including all copies thereof) have been returned to the Disclosing Party.
- 5. <u>Term and Termination.</u> This Agreement shall become effective on the Effective Date first set forth above and shall terminate upon the expiration of two (2) years from the Effective Date unless earlier terminated by either party at any time on written notice to the other. Notwithstanding any termination of this Agreement the obligations and restrictions on the Receiving Party under paragraph 3 above shall survive until such time as the information in question otherwise falls into one of the exclusions from "Confidential Information" set out in paragraph 2.
- 6. <u>No Warranty or License.</u> This Agreement does not require either party to disclose any Confidential Information and any Confidential Information provided hereunder is provided strictly on an "as is" basis without any warranty or guarantee. All Confidential Information shall remain the sole property of the party disclosing such information. No license to either party of any trademark, patent, copyright or any other intellectual property right is either granted or implied by this Agreement or any disclosure hereunder.
- 7. <u>Due Diligence.</u> The Receiving Party shall not directly or indirectly contact any shareholders, officers, employees, affiliates, agents, lending institutions, customers or suppliers of the Disclosing Party in connection with the Project without the prior written consent of the Disclosing Party.
- 8. <u>Non Solicitation.</u> In consideration of the Confidential Information being furnished to a Receiving Party, the Receiving Party hereby agrees that for a period of two years from the date hereof, it shall not directly or indirectly solicit, entice, hire or engage any of the officers, employees, full-time, part-time or independent contractors or any direct or indirect sales representatives or agents from time to time of the Disclosing Party.
- 9. <u>Injunctive Relief.</u> The parties agree that disclosure or use of Confidential Information contrary to this Agreement may cause the disclosing party irreparable harm, for which damages may not be adequate compensation. Therefore, the parties agree that a party seeking to enforce this Agreement may be entitled to equitable relief, including an injunction, in order to stop any breach or threatened breach of this Agreement.
- 10. Governing Law. This Agreement is governed by the laws of Ontario, excepting its choice of law provisions. The parties hereby agree to irrevocably submit to the exclusive jurisdiction of the courts of the Province of Ontario in respect of all legal proceedings arising out of this Agreement.
- 11. <u>Corporate Affiliates.</u> This Agreement is intended to encompass the Affiliates (as defined below) of both parties hereto. Consequently, any Affiliates of a party may disclose Confidential Information to the other party or to the other party's Affiliates, and Affiliates of a party may receive Confidential Information from the other party or the other party's Affiliates. The terms "Disclosing Party" and "Receiving Party", as used herein, shall include Affiliates of the parties with respect to Confidential Information disclosed by or received by an Affiliate, as the case may be. Each party is responsible for a breach of this Agreement by any of its Affiliates, and the party agrees to take all reasonable measures to restrain its Affiliates from prohibited or unauthorized disclosure or use of Confidential Information. For the purposes of this Agreement, an "Affiliate" of a party shall mean any corporation or entity that (a) is controlled, either directly or indirectly, by a party; (b) is under common voting control, either directly or indirectly, with the party; or (c) that controls the party; as the case may be, where "control" means the ability to vote greater than fifty percent (50%) of the outstanding voting securities in such corporation.
- 12. <u>No commitment.</u> The parties understand and agree that discussions hereunder are exploratory only and that no commitment or representation has been made or is made by either party that will result in the development, manufacture, marketing, sale or supply of any product or service by either party for or to the other party whatsoever.

INITIAC

13. Miscellaneous Provisions. This Agreement constitutes the entire agreement and understanding between the parties and integrates all prior and contemporaneous discussions between them related to the subject matter hereof. No amendment to this Agreement shall be valid unless it is in writing and signed by both parties. Except for the assignment of this Agreement by either party to any Affiliate or subsidiary company, this Agreement may not be assigned by either party without the prior written consent of the other party. This Agreement shall be binding upon the respective successors and assigns of the parties hereto. No delay or omission by either party in exercising any right under this Agreement shall operate as a waiver of that or any other right. If any provision of this Agreement shall be held invalid or unenforceable for any reason, such invalidity or unenforceability shall attach only such provision and shall not affect or invalidate any other provision of this Agreement. This Agreement shall be governed by and construed in accordance with the laws of the Province of Ontario (excluding its conflicts of law rules) and the federal laws of Canada applicable herein and the parties hereby irrevocably attorn to the non-exclusive jurisdiction of the courts of the Province of Ontario. This Agreement may be executed by way of facsimile or electronic transmission of signed copies which shall be binding upon the parties as if an original.

Executed by the duly authorized representatives of the parties to be effective as of the date set forth above.

ProTerra LED International Inc.	Ephesus Technologies LLC
James	Touch and
Shan Jamal President	Joseph Casper 4/1/2011

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EXHIBIT D

From: Joe Casper <joecasper@ephesustech.com>

Sent: Monday, May 16, 2011 4:03 PM

To: John Johnston Cc: Brian Abbe

Subject: LED Lighting Products

John, could you bring down and leave behind a few of the lighting products when you visit this week? It would be a big help.... Joe

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EXHIBIT E

Ephesus, ProTerra, Group4 Produce the Most Advanced LED Lighting

Today Ephesus Technologies, ProTerra LED, and Group4 Labs have formed a multimillion dollar strategic alliance to develop a new generation of LED devices using GaN-on-Diamond nanotechnology in Syracuse NY.

Ephesus Technologies, cofounders Joe Casper and Brian Abbe, is a Syracuse NY based company that creates innovative high tech products and applications using nanotechnology. Ephesus' mission entails identifying and inserting new technologies that have direct impact on the technology needs of commercial and government enterprises. Ephesus currently employs 7 senior research engineers working on the development of nanotechnologies and Intellectual Property.

ProTerra LED, lead by CEO John Johnston, is a world leading providers of solid state LED lighting solutions and are pioneers in high wattage LED. ProTerra designs and manufactures innovative products that will result in a greener planet, tremendous energy and financial savings plus a much brighter future for all. ProTerra is a Canada based company that has recently established an office in Syracuse.

Group4 Labs, Inc., lead by CEO Felix Ejeckam, is a fabless "extreme materials" company that develops and markets unusual semiconductor materials for use in various markets including high performance RF amplifiers, radar components, and solid-state lighting. Group4 Labs is a Fremont California based company that is in the process of establishing offices in Syracuse.

The formation of this strategic partnership alliance has created a complete integrated solution for the development and manufacturing of LED lighting products. This multi-million dollar operation in Syracuse NY will create discriminating LED lighting technologies that will accelerate new products to market. The development of this new alliance will cultivate business growth, job creation, and regional economic development for Syracuse NY.

Ephesus Technologies and ProTerra LED with the addition of Group4 Labs will develop and deploy a new LED bulb using GaN on Diamond nanotechnology. The new LED bulb will far exceed today's LED performance standards. This new LED technology will consume 20% less power, 40% brighter, produce 50% less heat, and at a cost savings of 15% to the consumer over the best LEDs available on the market today.

This new LED device technology will be deployed into ProTerra's current commercial, home/office, municipal and obstruction lighting products.

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EXHIBIT F

From: Joe Casper <joecasper@ephesustech.com>

Sent: Wednesday, June 29, 2011 8:48 PM

To: John Johnston

Subject: Re: Current Non-Publication Patent

John, thank you. I will discuss with Kate on Friday. Joe

On Jun 29, 2011, at 9:11 PM, John Johnston wrote:

Hi Joe,

These are important documents that Kate will need in order to help us build the next lighting patents for InnovatUS,

Regards John

<filing receipt.pdf><patent specification.pdf><Patent drawings.pdf>



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APPLICATION	FILING or	GRP ART				
NUMBER	371(c) DATE	UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	TOT CLAIMS	IND CLAIMS
12/813.160	06/10/2010	2828	462	K8000659US1	8	1

CONFIRMATION NO. 6373

34236
VALENTINE A COTTRILL
SUSAN TANDAN
50 QUEEN STREET NORTH, STE. 1020
P.O. BOX 2248
KITCHENER, ON N2H6M2
CANADA



FILING RECEIPT

Date Mailed: 06/23/2010

Receipt is acknowledged of this non-provisional patent application. The application will be taken up for examination in due course. Applicant will be notified as to the results of the examination. Any correspondence concerning the application must include the following identification information: the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please submit a written request for a Filing Receipt Correction. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections

Applicant(s)

John F. Johnston, Paris, CANADA;

Power of Attorney: The patent practitioners associated with Customer Number 34236

Domestic Priority data as claimed by applicant

This appln claims benefit of 61/185,731 06/10/2009

Foreign Applications

If Required, Foreign Filing License Granted: 06/21/2010

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US 12/813,160**

Projected Publication Date: Request for Non-Publication Acknowledged

Non-Publication Request: Yes

Early Publication Request: No

** SMALL ENTITY **

Title

LIGHT-GENERATING SYSTEM

Preliminary Class

372

PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and quidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at http://www.uspto.gov/web/offices/pac/doc/general/index.html.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, http://www.stopfakes.gov. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as

set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign AssetsControl, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

LIGHT-GENERATING SYSTEM

[0001] This application claims the benefit of U.S. Provisional Patent Application No. 61/185,731, filed June 10, 2009, and incorporates such provisional application in its entirety by reference.

FIELD OF THE INVENTION

[0002] The present invention is a light-generating system.

BACKGROUND OF THE INVENTION

[0003] As is well known in the art, light-emitting diodes ("LEDs") are more efficient than incandescent light bulbs, i.e., more light is produced per watt by an LED than by an incandescent bulb. Other known electrically-powered light sources, e.g., fluorescent light bulbs, have a number of disadvantages, as is well known in the art. For example, fluorescent light bulbs are required to be replaced relatively frequently. Where they are used in streetlights, this can involve significant expenses.

[0004] LEDs have a number of additional advantages. However, as is well known in the art, LEDs require relatively close control of voltage and current and also heat management. For example, because current through the LED is dependent exponentially on voltage, voltage should be closely controlled. Also, the voltage supplied should be sufficient, at a minimum, to cause current to flow in the proper direction (i.e., from p-type to n-type material). In addition, the ambient temperature of the operating environment can significantly affect the performance of LEDs.

[0005] Although LEDs have certain advantages when compared to incandescent or fluorescent bulbs (e.g., lower power consumption, and longer operating time) LEDs also have certain disadvantages, as noted above.

SUMMARY OF THE INVENTION

[0006] For the foregoing reasons, there is a need for an improved light-generating system, in which one or more of the disadvantages of the prior art are addressed or mitigated.

[0007] In its broad aspect, the invention provides a light-generating system for consuming input electrical power from a power source to generate light. The light-generating system includes a LED load array with a plurality of light-emitting diodes, the light-emitting diodes having an operating temperature when energized, and a heat sink on which the LED load array is mounted, the heat sink being adapted for absorbing and dissipating heat energy generated by the light-emitting diodes when energized. The heat sink includes a body portion to which the light-emitting diodes are attached, and a finned portion connected to the body portion, for dissipation of heat energy transferred thereto at least partially via conduction from the lightemitting diodes, to cool the light-emitting diodes. The system also includes a power control unit electrically connected to the power source and the LED load array, for converting the input electrical power to an output electrical power and controlling voltage and current of the output electrical power provided by the power control unit to the LED load array. In addition, the system includes a temperature detector subassembly operatively connected to the power control unit, the temperature detector subassembly being adapted for sensing a heat sink temperature of the heat sink at one or more predetermined locations thereon.

[0008] The temperature detector subassembly is adapted to compare the heat sink temperature to one or more preselected temperatures within a preselected temperature range, and to transmit a control signal to the power control unit upon determining that the heat sink temperature differs from said at least one preselected temperature by more than a preselected minimum difference.

[0009] Upon receipt of the control signal, the power control unit changes the voltage of the output electrical power, to modulate the performance of the light-emitting diodes such that the heat sink temperature is within the preselected temperature range.

[0010] In one of its aspects, the preselected temperature includes an upper limit preselected temperature, and the preselected minimum difference includes an upper limit minimum difference.

[0011] In another aspect, upon the temperature detector subassembly determining that the heat sink temperature is greater than the upper limit preselected temperature by at least the upper limit minimum difference, the temperature detector subassembly sends the control signal to the power control unit, for causing the power control unit to decrease the output electrical power to lower the heat sink temperature until the heat sink temperature is within the preselected temperature range.

[0012] In yet another aspect, the control signal causes the voltage of the output electrical power to decrease to an extent required by the control signal.

[0013] In yet another of its aspects, the power control unit of the invention includes a microprocessor and a current sensor, for determining current data associated with the output electrical power and communicating the current data to the microprocessor.

[0014] In another aspect, the invention additionally includes an ambient light controller for controlling the electrical power provided to the LED load array, in indirect proportion to ambient light intensity.

BRIEF DESCRIPTION OF THE DRAWINGS

- [0015] The invention will be better understood with reference to the attached drawings, in which:
- [0016] Fig. 1A is an isometric view of an embodiment of a light-generating system of the invention;
- [0017] Fig. 1B is a side view of the light-generating system of Fig. 1A;
- [0018] Fig. 2 is an exploded view of the light-generating system of Fig. 1A;
- [0100] Fig. 3A is a plan view of a bottom plate subassembly of the light-generating system of Fig. 1A, drawn at a larger scale;
- [0019] Fig. 3B is a schematic illustration of the light-generating system of Fig. 1A;
- [0020] Fig. 4A is a schematic diagram illustrating the light-generating system of Figs. 1A-3;
- [0021] Fig. 4B is a schematic diagram illustrating an alternative embodiment of the light-generating system of the invention;
- [0022] Fig. 5 is a schematic illustration of another alternative embodiment of the light-generating system of the invention;
- [0023] Fig. 6 is an isometric view of an alternative embodiment of the light-generating system of the invention, drawn at a smaller scale;
- [0024] Fig. 7 is an end view of a base of the light-generating system of Fig. 6, drawn at a larger scale;
- [0025] Fig. 8A is a side view of selected elements of the light-generating system of Fig. 6, drawn at a smaller scale; and
- [0026] Fig. 8B is an end view of a cover of the light-generating system of Fig. 6, drawn at a larger scale.

DETAILED DESCRIPTION

In this specification and in the claims that follow, "LED" means a light-emitting [0027]diode. In the attached drawings, like reference numerals designate corresponding elements throughout. Reference is first made to Figs. 1A-4A to describe an embodiment of a lightgenerating system of the invention indicated generally by the numeral 20. The light-generating system 20 is for consuming input electrical power from a power source (not shown) to generate light. Preferably, the light-generating system 20 includes a LED load array 22 with a number of light-emitting diodes 23, which have an operating temperature when energized. The system 20 also preferably includes a heat sink 24 on which the LED load array 22 is mounted. The heat sink 24 is adapted for absorbing and dissipating heat energy generated by the light-emitting diodes 23, when they are energized. It is preferred that the heat sink 24 includes a body portion 25 to which the light-emitting diodes 23 are attached, and a finned portion 26 connected to the body portion 25, for dissipation of heat energy transferred thereto at least partially via conduction from the light-emitting diodes 23, to cool the light-emitting diodes 23. In addition, the system 20 preferably includes a power control unit 28 electrically connected to the power source and the LED load array 22, for converting the input electrical power to an output electrical power, and for controlling voltage and current of the output electrical power provided by the power control unit 28 to the LED load array 22. In one embodiment, the system 20 preferably also includes a temperature detector subassembly 30 operatively connected to the power control unit 28. The temperature detector subassembly 30 is adapted for sensing a heat sink temperature of the heat sink 24 at a predetermined location thereon. As will be described, the temperature detector subassembly 30 preferably is also adapted to compare the heat sink temperature to one or more preselected temperatures within a preselected temperature range, and to transmit a control signal to the power control unit 28 upon determining that the heat sink temperature differs from the preselected temperature by one or more preselected minimum differences. Upon receipt of the control signal, the power control unit 28 changes the voltage of the output electrical power, to modulate the performance of the light-emitting diodes so that the heat sink temperature is within the preselected temperature range.

[0028] As can be seen in Figs. 1A-3A, in one embodiment, the body portion 25 of the heat sink 24 preferably includes first and second end plates 32, 34 to which the finned portion 26

is attachable (Fig. 2). Preferably, the end plates 32, 34 are substantially round in plan view thereof, with substantially the same diameter. As shown in Fig. 2, it is preferred that the finned portion 26 includes first and second parts 36, 38 which, when attached to the end plates 32, 34, define a generally cylindrical shape overall. Each of the first and second parts 36, 38 includes an interior surface 40, 42 (Fig. 2). It will be understood that, when the heat sink 24 is assembled, the interior surfaces 40, 42 and the end plates 32, 34 at least partially define a cavity 44 in which certain elements (e.g., the power control unit 28) are positionable. For instance, as schematically illustrated in Fig. 3B, the power control unit 28 preferably is mounted on one or more of the interior surfaces 40, 42.

The heat sink 24 preferably includes any suitable heat dissipation means. For example, depending on the application, one or more heat pipes may be suitable. However, it is preferred that the heat sink 24 includes fins 45 which extend outwardly from the finned portion 26 for heat exchange with the ambient atmosphere, to dissipate heat to the ambient atmosphere. Preferably, the fins 32 are formed for optimal heat transfer characteristics. It will be appreciated by those skilled in the art that the heat sink preferably is made of material with relatively high thermal conductivity. For example, the heat sink 24 preferably is made of extruded aluminum.

[0030] It is preferred that the power control unit 28 includes a microprocessor 46 and a current sensor 48, for determining current data associated with the output electrical power and communicating the current data to the microprocessor 46 (Fig. 4A).

The power control unit 28 preferably provides a current-regulated and voltage-regulated power supply to the LED load array 22. Preferably, the components of the power control unit 28 are included in a highly integrated single power/controller board design (i.e., a printed circuit board ("PCB")), which is a microprocessor-based control system that fits within the heat sink 24. In one embodiment, the power control unit 28 and other elements of the light-generating system 20 preferably are adapted for 50W or 100W rated output, as selected by the user. Preferably, the power control unit 28 is set for either 50W or 100W when it is manufactured. This arrangement reduces manufacturing costs. Other possible power outputs will occur to those skilled in the art.

[0032] It is also preferred that the system 20 includes a connector 50, for electrically connecting the LED load array 22 to the power control unit 28. The connector 50 preferably is adapted for quick connection and disconnection, to permit relatively quick replacement of a used LED load array 22.

[0033] The light-generating system 20 preferably includes continuous diagnostics for supply, lamp load condition and system functionality. The power measurement and control capability of the power control unit 28 leads to a relatively longer operational life of the LED load array 22.

Preferably, the microprocessor 46 is for monitoring and controlling various aspects of the performance of the power control unit 28 and/or the light-generating system 20 overall. In particular, the microprocessor 46 receives data about the current and voltage provided to the LED load array 22, compares such measured current and voltage to rated current and voltage, and initiates appropriate action if the measured current and voltage vary from the rated values therefor by more than predetermined differences respectively. Preferably, the output electrical power is controlled via pulse width modulation. It is preferred that voltage is monitored within the microprocessor 46. Current preferably is sensed by the sensor 48, and data in this regard is provided to the microprocessor 46. The microprocessor 46 then directs the pulse lengths accordingly. The microprocessor 46 continuously processes such data at very short time intervals.

[0035] The LED driver 28 sets the voltage applied to the LED load array 22 in a variable fashion, where the microprocessor 46 adjusts the voltage slightly up or down within a given range in order to sense the predetermined current flow. The current flow is measured by a very low resistance power resistor (usually 0.1 Ohms) sensing a very small voltage drop across it (in milli-volts). This measurement is then amplified and put on a feedback to the microprocessor 46 so that it can adjust the potential of the pulses it feeds the output to attempt to match the predetermined voltage drop across the current sensing resistor.

[0036] From the foregoing, it can be seen that the power control unit 28 is used to control the voltage applied to the LED load array 22. However, unlike traditional supplies, an absolute

output voltage is not set, but instead the applied voltage is set relative to the drop across a current-sensing resistor, as described above.

[0037] In addition, the light-generating system 20 has an overall power efficiency of at least 86 percent, a relatively high efficiency. (Prior art units typically average from 70 percent to 75 percent power efficiency.) The light-generating system 20 preferably has the full operational input range of 85-480 VAC.

In one embodiment, the light-generating system 20 preferably is adapted to automatically shut itself down immediately upon sufficiently unusual conditions being detected. For example, as the system 20 includes elements thereof adapted for measurement of current and voltage, if the current or voltage supplied is not within predetermined ranges, then the system 20 shuts down upon detection of the unusual conditions. If the applied voltage does not remain within its regulation parameters, then the system is shut down. Similarly, if the current supplied by the pulsing is insufficient to power the load, then the system 20 shuts itself down. Insufficient current may indicate that the system has a short circuit condition.

[0039] In addition, the light-generating system 20 preferably includes an automatic recovery function, pursuant to which the system 20 reboots itself if necessary. For instance, in the event of a complete failure of the power supply to the light-generating system 20, the system 20 reboots itself once the power supply is restored.

In one embodiment, the light generating system 20 preferably includes the temperature detector subassembly 30 (Fig. 4A) operatively connected to the power control unit 28 via a thermal feedback network 52 (Fig. 4A). Those skilled in the art will be aware that the temperature detector subassembly may include various temperature-detecting elements. It is preferred that the temperature-detecting element in the temperature detector subassembly 30 is a thermistor. The temperature detector subassembly 30 is for sensing a temperature of the heat sink 24. If the temperature differs from a preselected temperature by more than a predetermined extent, then the thermistor 30 provides an increasing signal to the power control unit 28, for increasing the electrical power supplied to the LED load array 22, or the thermistor 30 provides a decreasing signal to the power control unit 28, for decreasing the electrical power supplied to the LED load array 22, as the case may be. The thermistor 30 is adapted to take the ambient

temperature into account. Because the thermistor 30 is included in the system 20, a somewhat smaller heat sink 24 may be used than otherwise would be selected for the light-generating system.

It will be understood that, in practice, the location on the heat sink 24 at which the temperature thereof is detected preferably should be relatively proximal to the light-emitting diodes 23. For instance, it is preferred that the thermistor 30 is connected to the heat sink 24 for detection of the temperature thereof at the end plate 32. Those skilled in the art will appreciate that, in determining the temperatures which trigger the generation of control signals, the thermal conductivity of the heat sink 24 and the distance of the location at which temperature is detected is from the light-emitting diodes is taken into account.

In general, the power control unit 28 is required to lower the temperature of the heat sink. In one embodiment, the preselected temperature is an upper limit preselected temperature and/or a lower limit preselected temperature. Also, it is preferred that the preselected minimum difference is, when compared to the upper limited preselected temperature, an upper limit minimum difference, and when the operating temperature is compared to the lower limit preselected temperature, a lower limit minimum difference.

Preferably, upon the temperature detector subassembly 30 determining that the heat sink temperature is greater than the upper limit preselected temperature by at least the upper limit minimum difference, the temperature detector subassembly 30 sends the control signal to the power control unit 28, to cause the power control unit 28 to decrease the output electrical power to lower the heat sink temperature until the heat sink temperature is within the preselected temperature range. The control signal preferably causes the voltage of the output electrical power to be decreased to an extent required by the control signal.

Preferably, upon the temperature detector subassembly 30 determining that the heat sink temperature is less than the lower limit preselected temperature by at least the lower limit minimum difference, the temperature detector subassembly sends the control signal to the power control unit 28, to cause the power control unit 28 to increase the output electrical power to raise the heat sink temperature until the heat sink temperature is within the preselected

temperature range. The control signal preferably causes the voltage of the output electrical power to be increased to an extent required by the control signal.

may be mounted in any suitable manner. Although many alternative arrangements are possible, in one embodiment, the system 20 preferably includes a hook element 54, to enable the system 20 to be suspended by the hook element 54 attached to a suitable part of a building or other structure (not shown). Input electrical power preferably is provided via any suitable means, e.g., a suitable insulated power cord (not shown). As can be seen in Figs. 1A - 2, the system 20 preferably includes a connection box 56 secured to the heat sink 24 in which the wiring (not shown) for connection with outside feed is located. In one embodiment, the hook element 54 is attached to the connection box 56. It is preferred that the system not be mounted directly into a standard socket (e.g., a socket for accepting an E39 base) due to a requirement for a standards body's approval of both the socket and the light-generating system mounted in such socket.

In use, the system 20 is positioned as required, e.g., suspended by the hook element 54, and the input electrical power is provided to the system 20 via any suitable means therefore. The light-emitting diodes preferably are fitted with one or more suitable lenses (not shown) for any particular application. For example, in view of the distance above a floor at which the light-emitting diodes are positioned, and the area to be illuminated, lenses with certain characteristics would be preferred. Once connected, the input electrical power is provided to the power control unit 28. The power control unit 28 controls the voltage and current of the electrical power which is provided to the LED load array, so that it is within the appropriate parameters for safe operation of the LED load array. Among other things, if the input electrical power is AC, then AC current is rectified (to DC current) by the power control unit. Once the LED load array is operating, the heat sink dissipates heat, to provide a temperature within a predetermined operating range of the LED load array.

[0047] As described above, the thermistor preferably provides signals to the power control unit 28 to control the voltage of the output electrical power provided to LED load array 22, in order to maintain the heat sink temperature within a predetermined range, in real time.

The light-generating system 20 is relatively robust, as all wiring and connectors are generally located inside the heat sink 24, except for the power cord or other wiring for connection to an external power source. The heat sink 24 preferably also acts as a heat sink for the power control unit 28. Preferably, the power control unit 28 is attached directly to an interior surface of the heat sink 24, and positioned in the cavity 44 inside the heat sink 24.

[0049] For reliability, it is preferred that the light-omitting diodes 23 are divided into a number of pairs of individual light-emitting diodes connected in series, and the pairs are connected in parallel. For example, in one embodiment, the LED load array 22 preferably includes 54 light-emitting diodes 23 divided into 27 pairs, and the 27 pairs are connected in parallel. This arrangement is intended to result in greater reliability. If one light-emitting diode fails, then only the other light-emitting diode in that pair ceases to function as a result, because only such other light-emitting diode in that pair is connected in series to the failed light-emitting diode.

In one embodiment, the light-generating system 120 preferably additionally [0050] includes an ambient light controller 160 for controlling the electrical power supplied to the LED load array 122, in indirect proportion to ambient light (Fig. 4B). The ambient light controller 160 preferably includes an ambient light sensor 162 and a photo feedback network 164. The sensor 162 notifies the feedback network 164 of changes in ambient light, and this data is provided to the microprocessor 146. The microprocessor 146 is adapted to compare ambient light levels to predetermined light levels and to determine whether additional electrical power is required to be provided to the LED load array 122 (i.e., if ambient light is below a predetermined lower level) or, alternatively, whether the electrical power supplied to the LED load array 122 should be decreased (i.e., if ambient light is greater than a predetermined upper level). (It will be understood that "ambient light" to which a particular light-generating system 120 is exposed would also include, for instance, light produced by the LEDs in other light-generating systems positioned nearby.) Preferably, the power control unit 128 (Fig. 2B) includes the pulse width modulator in the microprocessor 146 for "slowing down" (or, if necessary, "speeding up") the current provided to the LED load array 122, thereby causing the LED load array 122 to provide less or more light, i.e., as required in indirect proportion to the ambient light.

[0051] In short, where the system of the invention includes an ambient light controller, the electrical power provided to the LED load array via the power control unit is controlled, in indirect proportion to ambient light. Accordingly, the light-generating system 122 has complex dimming and startup functionality, thereby providing improved efficiency in mornings, evenings, and at other times when the ambient light is changing.

An alternative embodiment of the light-generating system 220 of the invention is shown in Fig. 5. As can be seen in Fig. 5, in the light-generating system 220, a LED load array 222 is mounted on a side 268 of the heat sink 224. The light-generating system 220 is intended for use where the light fixture (not shown) in which the light-generating system is to be used positions the light-generating system so that light is directed from the light-generating system in a direction which is substantially transverse to the axis (identified as "X" in Fig. 5) defined by the heat sink 224, e.g., for "cobra" style streetlights or parking lot lights.

[0053] An alternative embodiment of a light-generating system 320 of the invention is illustrated in Figs. 6-8B. As can be seen in Fig. 6, the light-generating system 320 includes a base 369 with a heat sink 324 on which a power control unit 328 (including a printed circuit board 370) is positioned. Preferably, the light-emitting diodes 323 in a LED load array 322 are positioned on the printed circuit board 370, at suitable intervals along the length of the base 369. The light-generating system 320 also includes end caps 372 positioned at each end of the base 369.

[0054] Each end cap 372 preferably includes a body portion 373 positioned to assist in holding a cover 374 in position. Preferably, each end cap 372 includes two prongs 378, 380 extending from the body portion 373. The prongs 378, 380 are formed to be receivable in the holes in a typical light fixture for a fluorescent light tube (not shown). It will be understood that the prongs 378, 380 are made of an electrical insulator (e.g., a suitable plastic, such as polyethylene), and so the prongs 378, 380 do not conduct electricity. The connection of the system 320 to a power source (not shown) preferably is via wires 382.

[0055] Preferably, the light-generating system 320 is formed to fit into a conventional fluorescent tube light fixture. Accordingly, the heat sink 324 is elongate, and the LED load array 322 is positioned on the PCB 370 which preferably is secured directly to the heat sink 324 so that

the LED load array 322 will direct light downwardly from the conventional light fixture, when the system 320 is positioned in the conventional fixture (not shown) and energized. As can be seen in Fig. 7, the base 369 preferably includes a finned portion 326, with fins 345 extending outwardly, for heat dissipation. The heat sink preferably includes substantially flat regions 384A, 384B on which the PCB 370 is attachable. It is preferred that the base 369 also includes a channel 385 positioned centrally along the length of the base 369, between the flat regions 384A, 384B. The channel 385 is at least partially defined by walls 393, 394, 395 (Fig. 7). Certain elements of the power control unit 328 (e.g., the microprocessor) preferably are positioned in the channel 385, to protect such elements, and also for dissipation of heat therefrom. The lightemitting diodes 323 preferably are mounted on a strip (not shown) which preferably is also at least partially positioned in the channel 385, for dissipation of heat therefrom.

[0056] Preferably, the base 369 also includes side portions 386 with transverse parts 388 at ends 390 of the side portions 386. As can be seen in Fig. 6, the light-generating system 320 includes the substantially transparent cover 374 which is held in position on the heat sink 324 between the side portions 386. The cover 374 preferably is made of any suitable flexible transparent or translucent material such as those skilled in the art would be aware of. Preferably, the cover 374 is made of a suitable acrylic. However, if the system is to be used in a harsh environment, the cover 374 preferably is made of polycarbonate, which would withstand shocks better than acrylic.

It is also preferred that the cover 374 (also shown in Figs. 8A and 8B) is formed so that it is subjected to tension when folded (as shown in Fig. 8B) and held between the side portions 386. Because the cover 374 is subjected to tension when it is held in this way between the side portions 386, the cover 374 is held in position when the light-generating system 320 is in position in the conventional fluorescent light tube fixture. The cover 374 is also partially held in place on the base 369 by the transverse parts 388, which engage the cover 374. (It will be understood that the end caps 372 are intentionally omitted from Fig. 8A for clarity of illustration.)

[0058] In operation, the light-generating system 320 functions generally the same as the system 20, described above. Input electrical power, if AC, is rectified by the power control unit

328, and the output electrical power is changed or modified by the power control unit 328 in response to information provided by a thermistor (not shown), which monitors temperature of the heat sink 324 at a predetermined location. Optionally, the light-generating system 320 may additionally include an ambient light controller (not shown), functioning as in the system 120, described above.

[0059] As noted above, the light-generating system 320 preferably is configured to fit into a conventional fluorescent light tube fixture, although the power supply is not provided via such fixture. It will be appreciated by those skilled in the art that the system 320 may be conveniently used to retrofit with LEDs, with minimal rewiring required.

[0060] It will be appreciated by those skilled in the art that the invention can take many forms, and that such forms are within the scope of the invention as described above. The foregoing descriptions are exemplary, and their scope should not be limited to the preferred versions provided therein.

We claim:

1. A light-generating system for consuming input electrical power from a power source to generate light, the light-generating system comprising:

a LED load array comprising a plurality of light-emitting diodes, the light-emitting diodes having an operating temperature when energized;

a heat sink on which the LED load array is mounted, the heat sink being adapted for absorbing and dissipating heat energy generated by the light-emitting diodes when energized, the heat sink comprising:

a body portion to which the light-emitting diodes are attached;

a finned portion connected to the body portion, for dissipation of heat energy transferred thereto at least partially via conduction from the lightemitting diodes, to cool the light-emitting diodes;

a power control unit electrically connected to the power source and the LED load array, for converting the input electrical power to an output electrical power and controlling voltage and current of the output electrical power provided by the power control unit to the LED load array;

a temperature detector subassembly operatively connected to the power control unit, the temperature detector subassembly being adapted for sensing a heat sink temperature of the heat sink at at least one predetermined location thereon;

the temperature detector subassembly being adapted to compare the heat sink temperature to at least one preselected temperature within a preselected temperature range, and to transmit a control signal to the power control unit upon determining that the heat sink temperature differs from said at least one preselected temperature by more than at least one preselected minimum difference; and

upon receipt of the control signal, the power control unit changes the voltage of the output electrical power, to modulate the performance of the light-emitting diodes such that the heat sink temperature is within the preselected temperature range.

2. A light-generating system according to claim 1 in which:

said at least one preselected temperature comprises an upper limit preselected temperature and a lower limit preselected temperature; and

said at least one preselected minimum difference comprises an upper limit minimum difference and a lower limit minimum difference.

- 3. A light-generating system according to claim 2 in which, upon the temperature detector subassembly determining that the heat sink temperature is greater than the upper limit preselected temperature by at least the upper limit minimum difference, the temperature detector subassembly sends the control signal to the power control unit, for causing the power control unit to decrease the output electrical power to lower the heat sink temperature until the heat sink temperature is within the preselected temperature range.
- 4. A light-generating system according to claim 3 in which the control signal causes the voltage of the output electrical power to decrease to an extent required by the control signal.
- 5. A light-generating system according to claim 2 in which, upon the temperature detector subassembly determining that the heat sink temperature is less than the lower limit preselected temperature by at least the lower limit minimum difference, the temperature detector subassembly sends the control signal to the power control unit, for causing the power control unit to increase the output electrical power to raise the heat sink temperature until the heat sink temperature is within the preselected temperature range.
- 6. A light-generating system according to claim 5 in which the control signal causes the voltage of the output electrical power to increase to an extent required by the control signal.

7. A light-generating system according to claim 1 in which the power control unit comprises:

a microprocessor; and

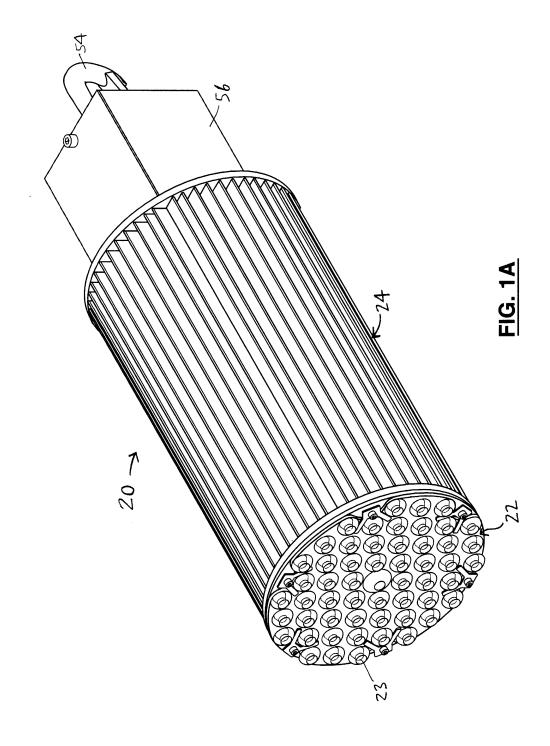
a current sensor, for determining current data associated with the output electrical power and communicating the current data to the microprocessor.

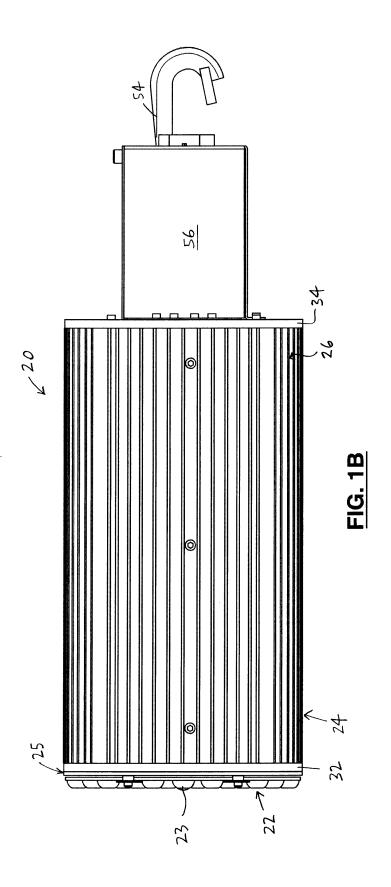
8. A light-generating system according to claim 1 additionally comprising an ambient light controller for controlling the electrical power provided to the LED load array, in indirect proportion to ambient light.

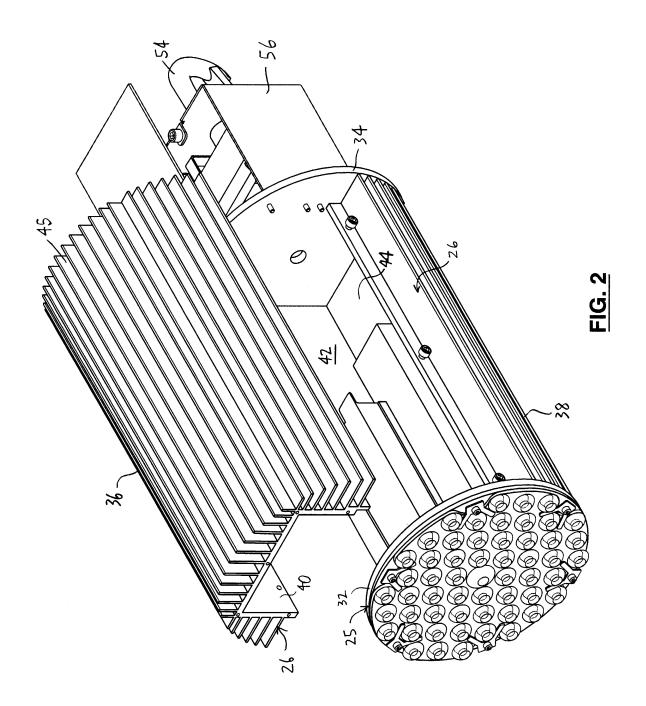
ABSTRACT

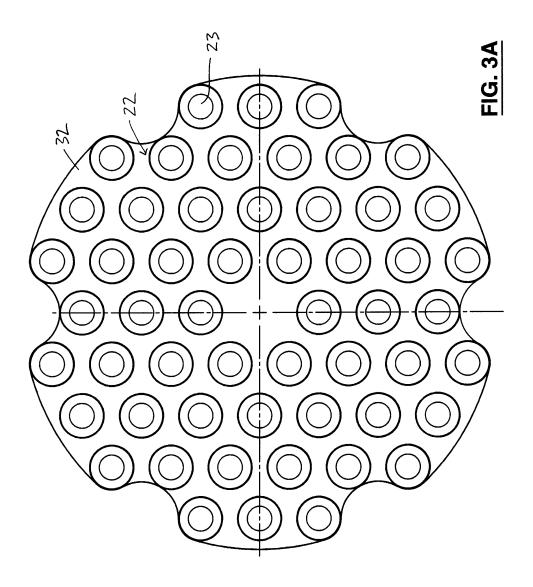
A light-generating system including a LED load array having a number of light-emitting diodes, and a heat sink on which the LED load array is mounted. The system also includes a power control unit electrically connected to the power source and the LED load array, for converting input electrical power to output electrical power and controlling voltage and current of the output electrical power provided to the LED load array, and a temperature detector subassembly adapted for sensing a heat sink temperature of the heat sink. The temperature detector subassembly monitors the heat sink temperature and transmits a control signal to the power control unit upon determining that the heat sink temperature differs from a preselected temperature by more than a preselected minimum difference. Upon receipt of the control signal, the power control unit changes the voltage of the output electrical power accordingly.

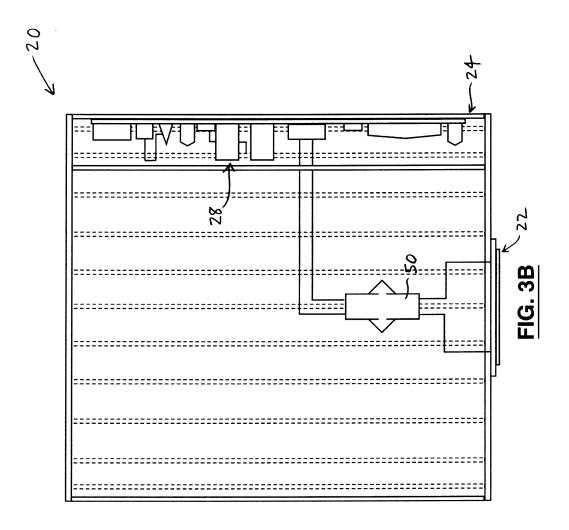
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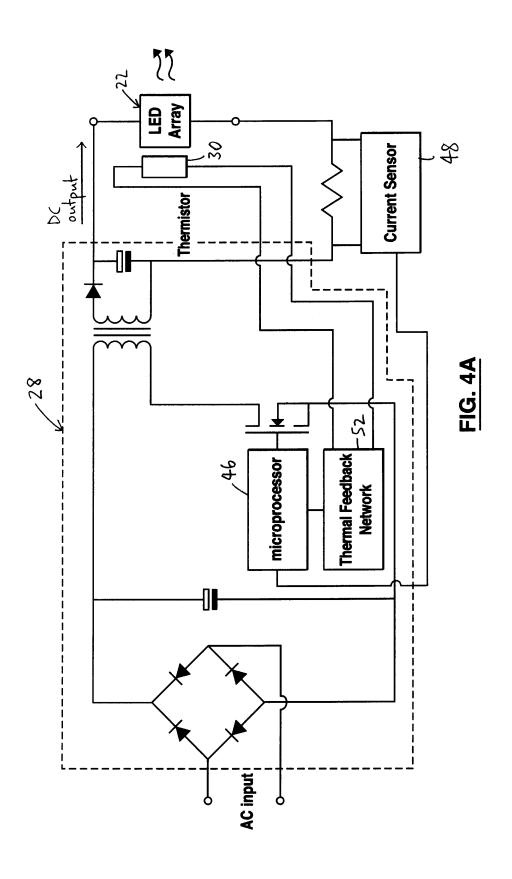


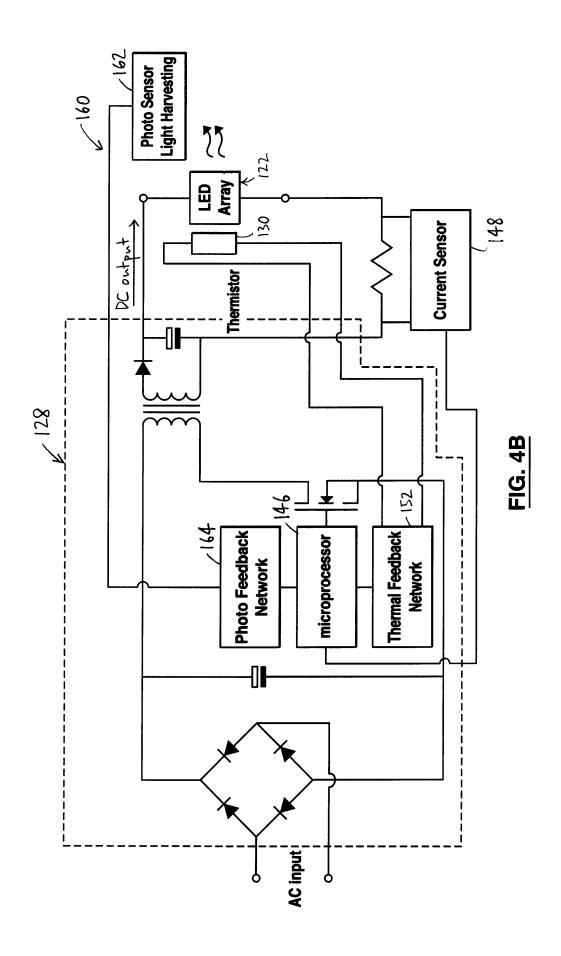


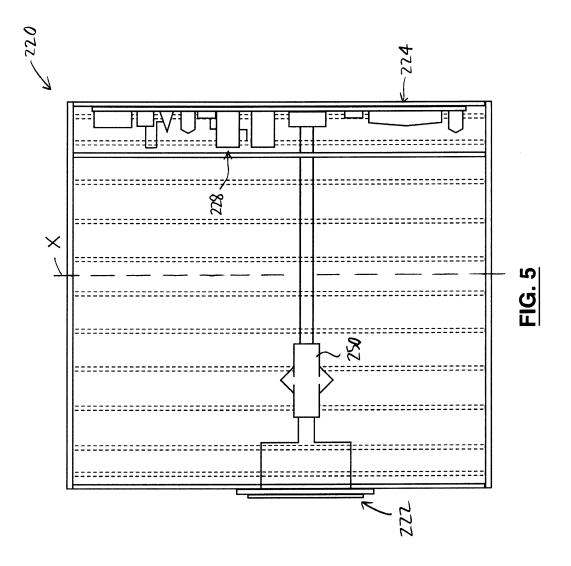


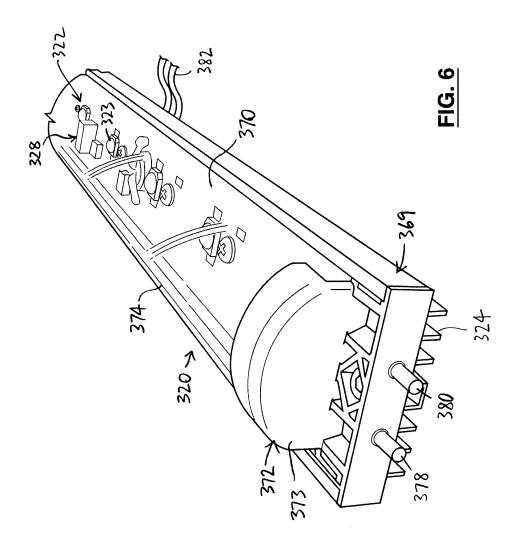


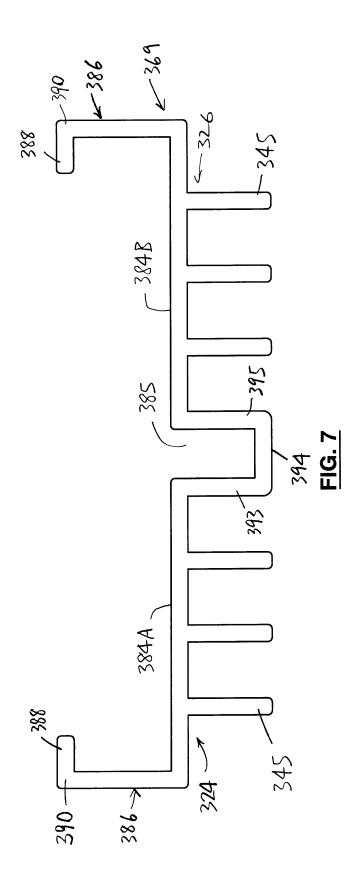


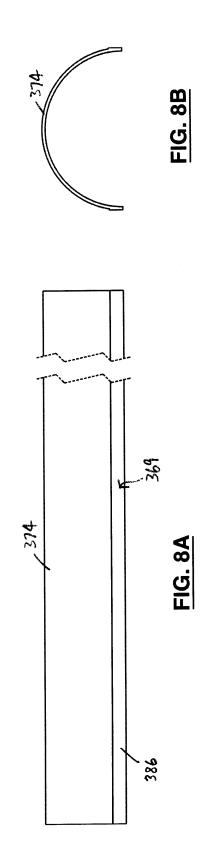












Case: 1:18-cv-01219-CAB Doc #: 1-1 Filed: 05/29/18 65 of 104. PageID #: 73

EXHIBIT G

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(12) United States Patent

Nolan et al.

(54) HIGH INTENSITY LIGHT-EMITTING DIODE LUMINAIRE ASSEMBLY

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F21V 1/00 (2006.01) **F21V 11/00** (2015.01)

(Continued)

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(52) U.S. Cl.

CPC *F21V 29/2206* (2013.01); *F21K 9/60* (2016.08); *F21S 2/00* (2013.01); *F21V 5/007* (2013.01);

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CPC F21V 29/2206; F21V 5/007; F21V 23/009; F21V 29/74; F21V 29/75; F21V 21/30;

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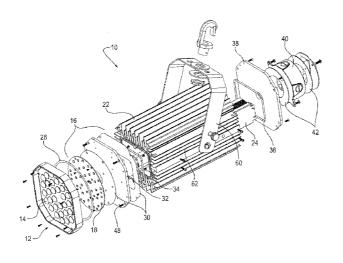
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(57) ABSTRACT

A low-cost, efficient, high intensity LED luminaire (HILL) assembly for use indoors or outdoors in wet, damp, or dry environments. In various embodiments, the HILL assembly can be powered by a universal AC or a DC electrical supply and can operate in a temperature range from about -40° C. to about +85° C. The HILL assembly can include a lens element comprising one or more concavo-convex lenses; an interchangeable LED module comprising a plurality of LEDs positioned in a LED array; and a heatsink housing containing a power supply for the LEDs. The HILL assembly can optionally comprise a circuit board for the LED (Continued)



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array that employs thermal via technology, a lens with a frosted lip for attenuating the light source as seen from an angle, and/or a sensor for sensing an environmental parameter of interest. Driver circuitry and the LEDs are preferably mounted directly on a common circuit board.

14 Claims, 29 Drawing Sheets

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	F21Y 105/10	(2016.01)
	F21Y 115/10	(2016.01)

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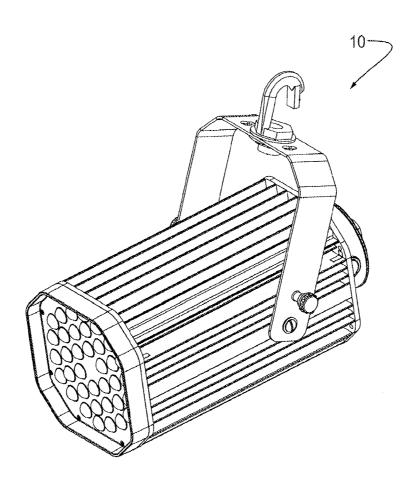
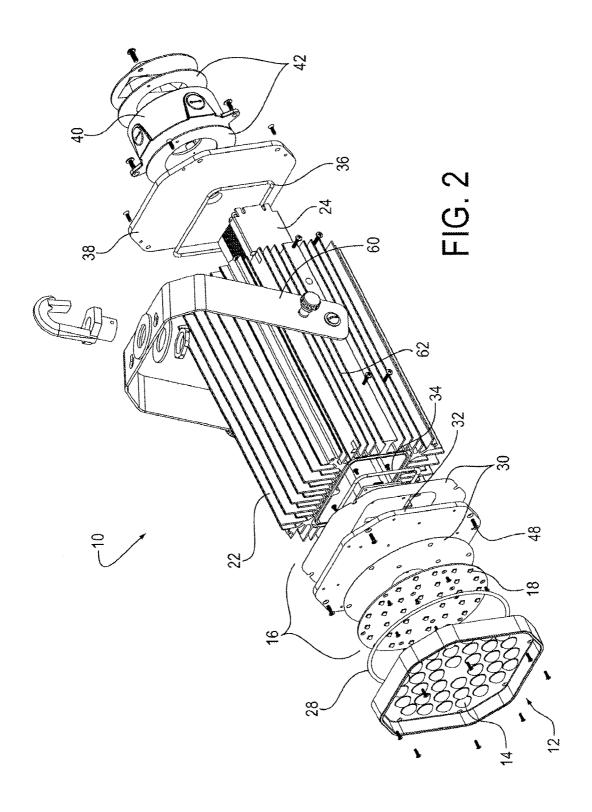


FIG. 1

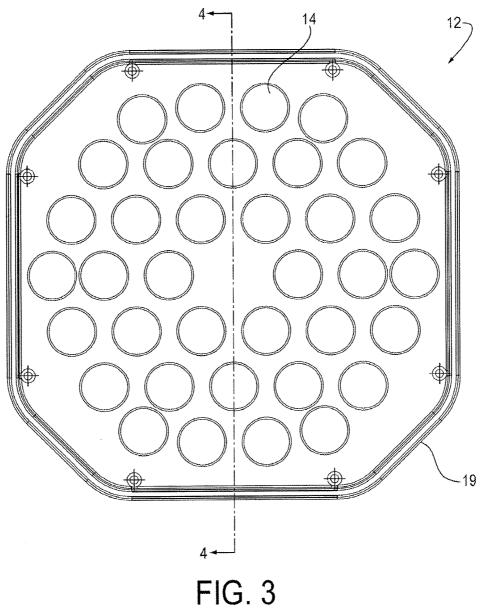
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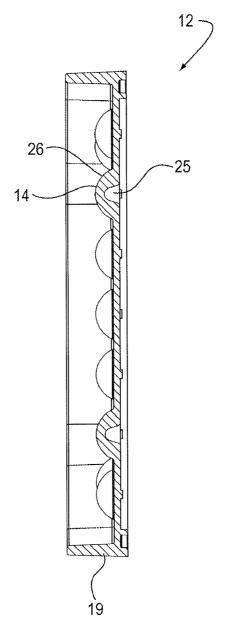
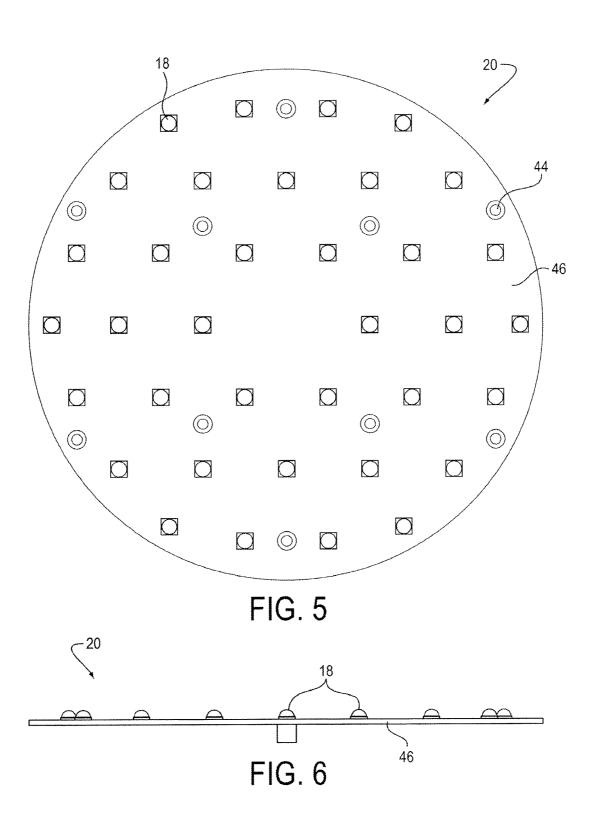


FIG. 4

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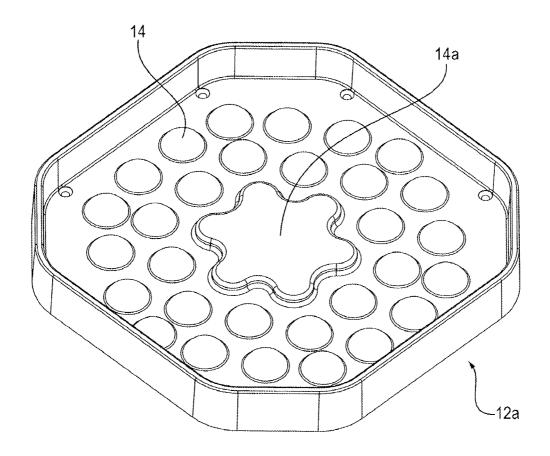


FIG. 7

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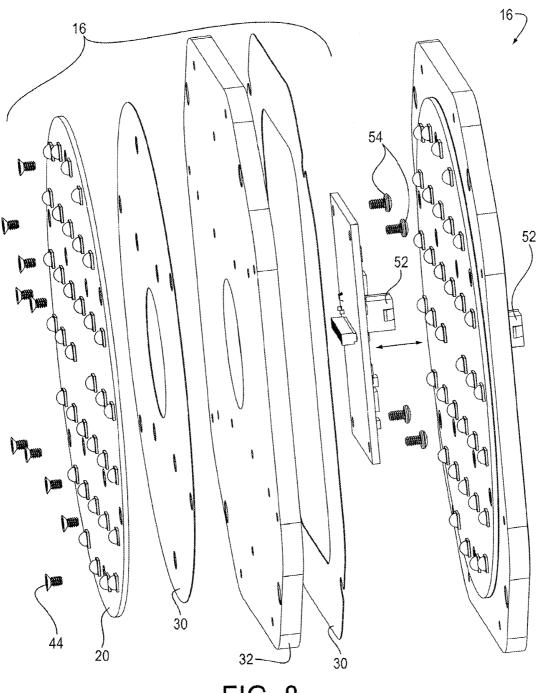
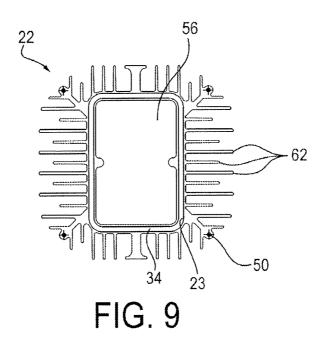
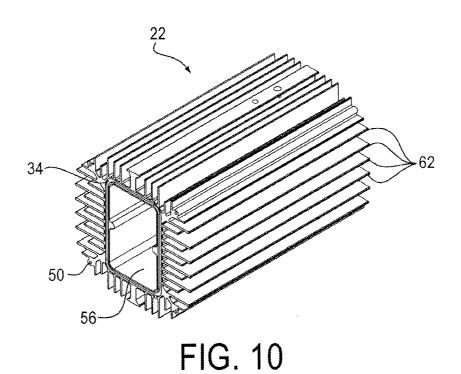


FIG. 8

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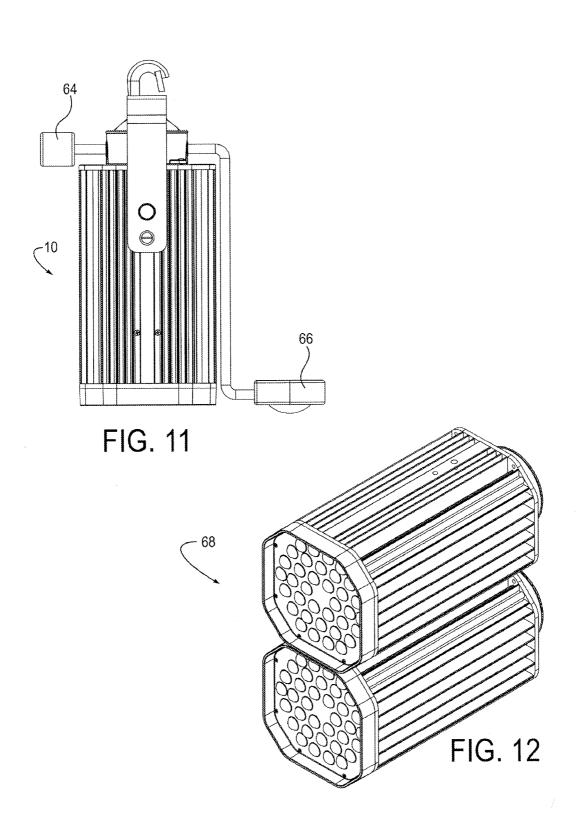
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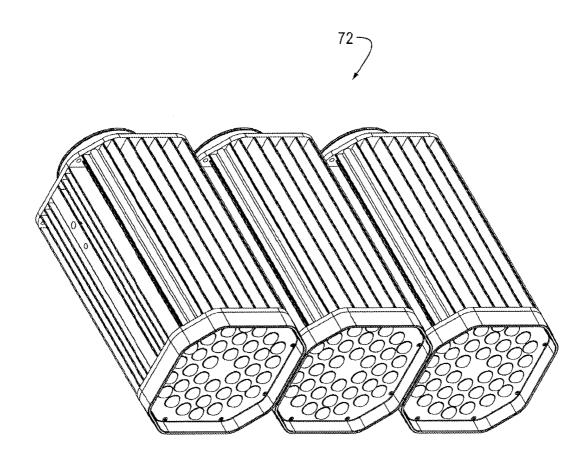


FIG. 13

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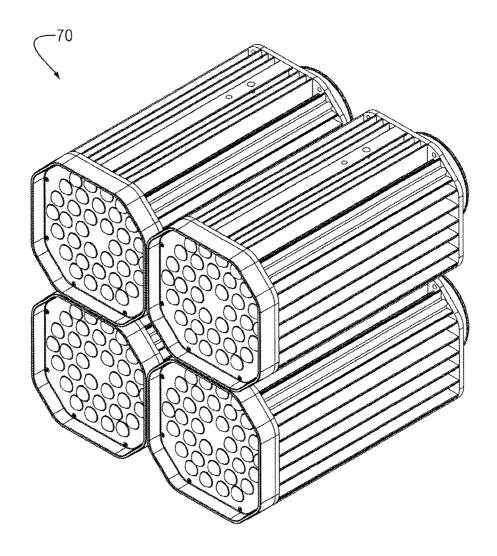
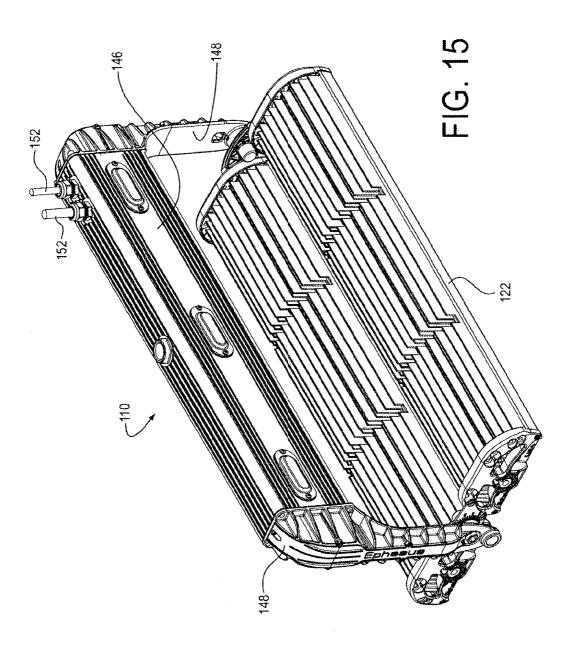


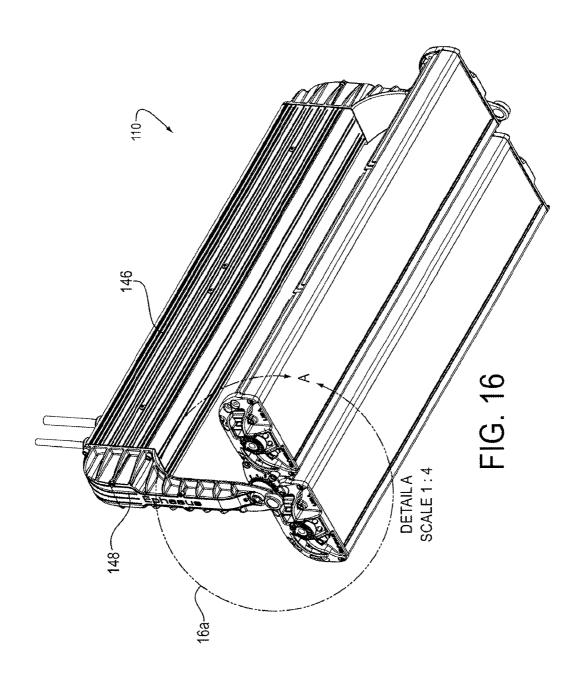
FIG. 14

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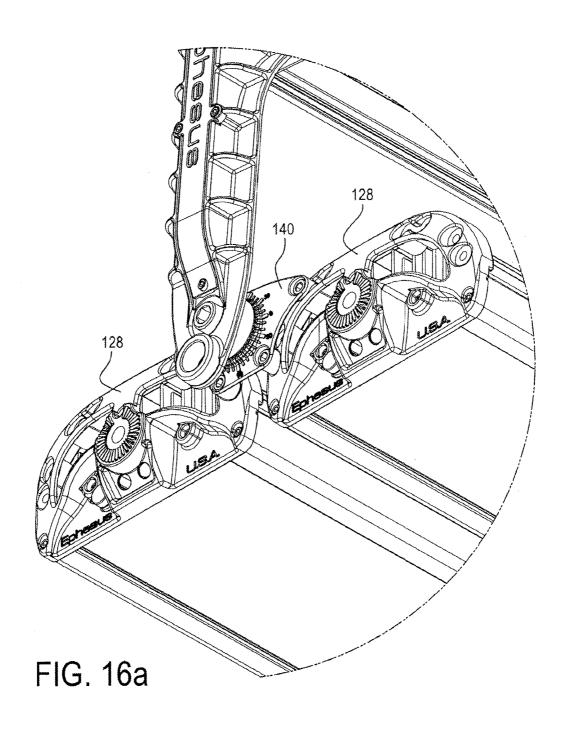
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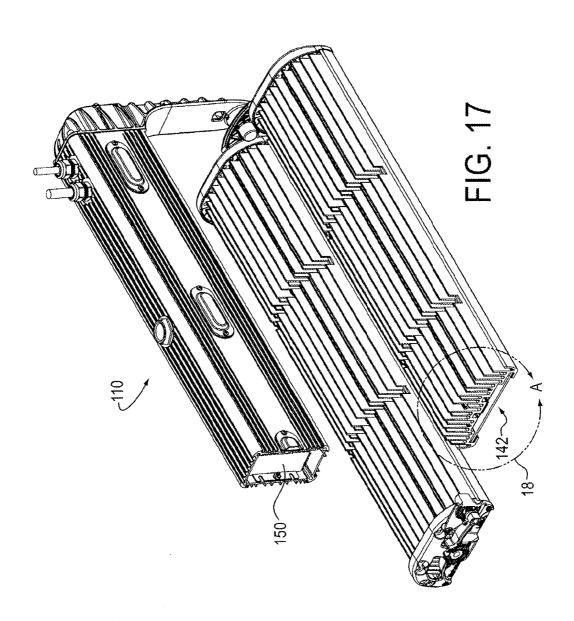


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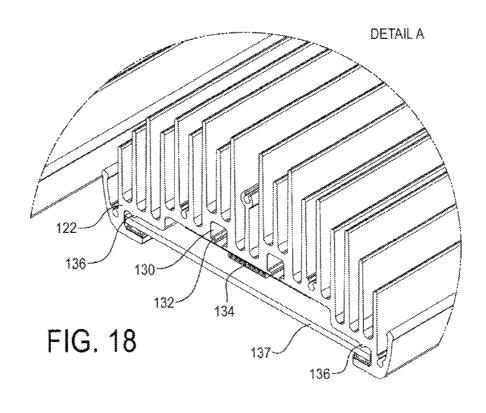


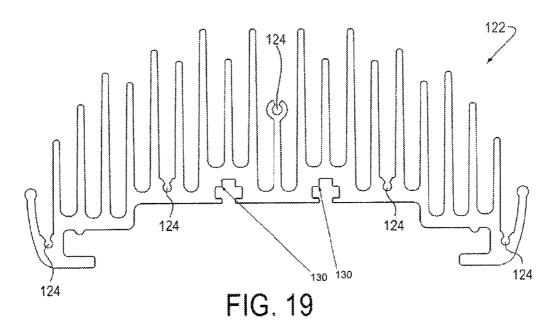
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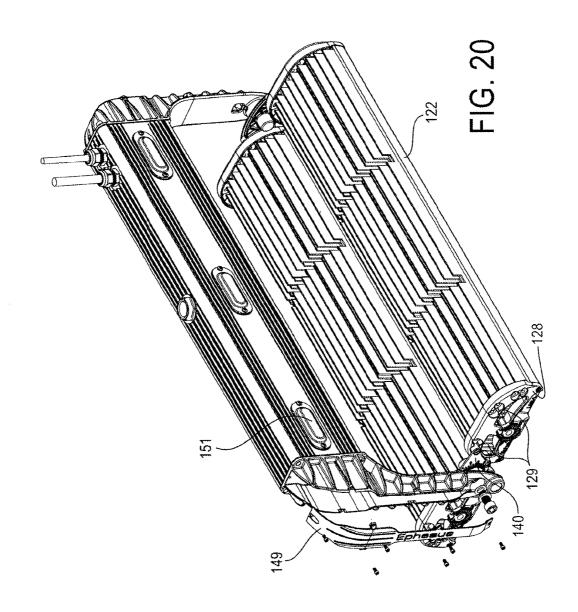
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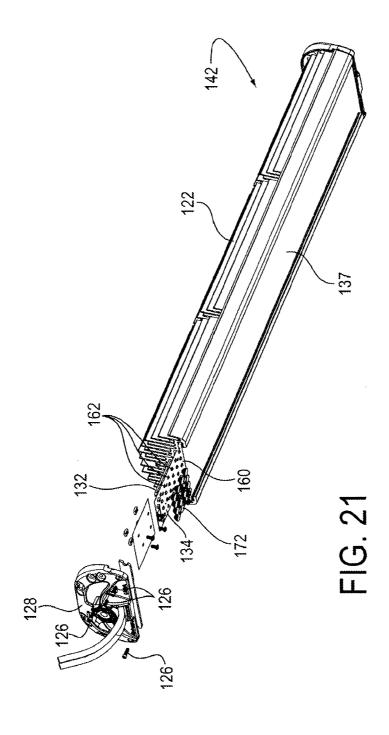


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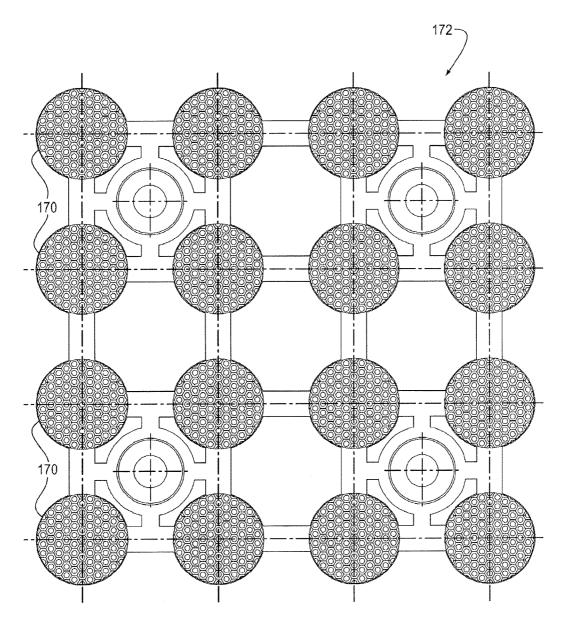
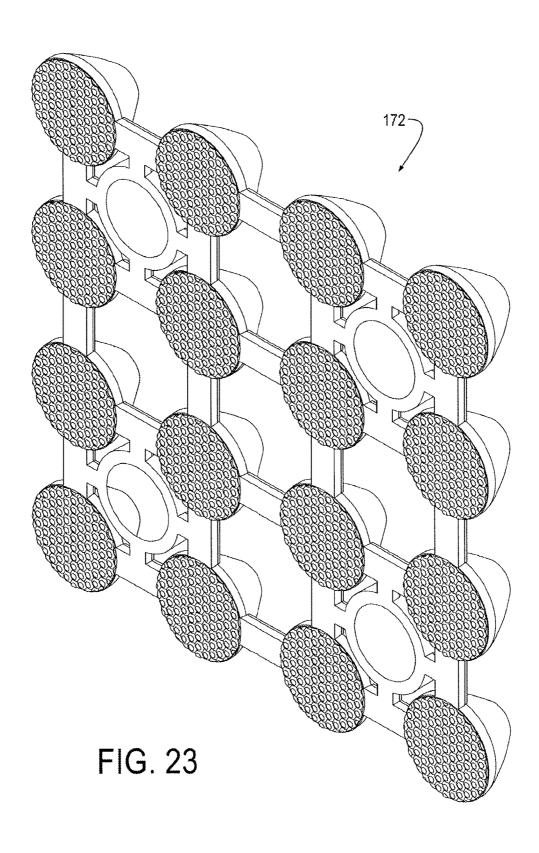


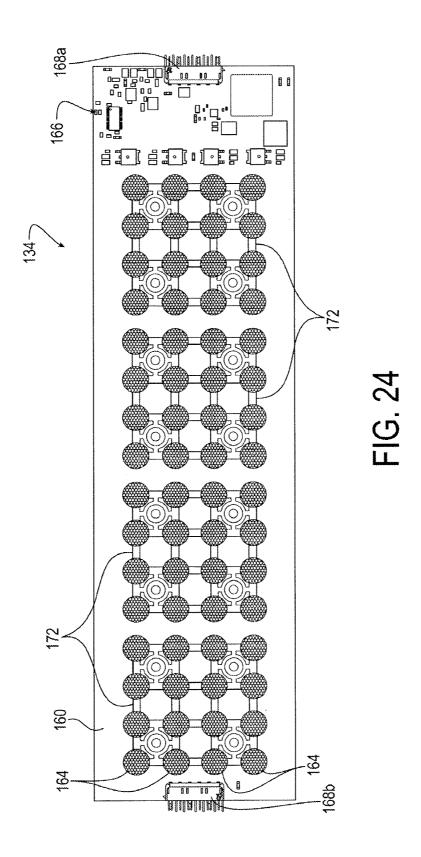
FIG. 22

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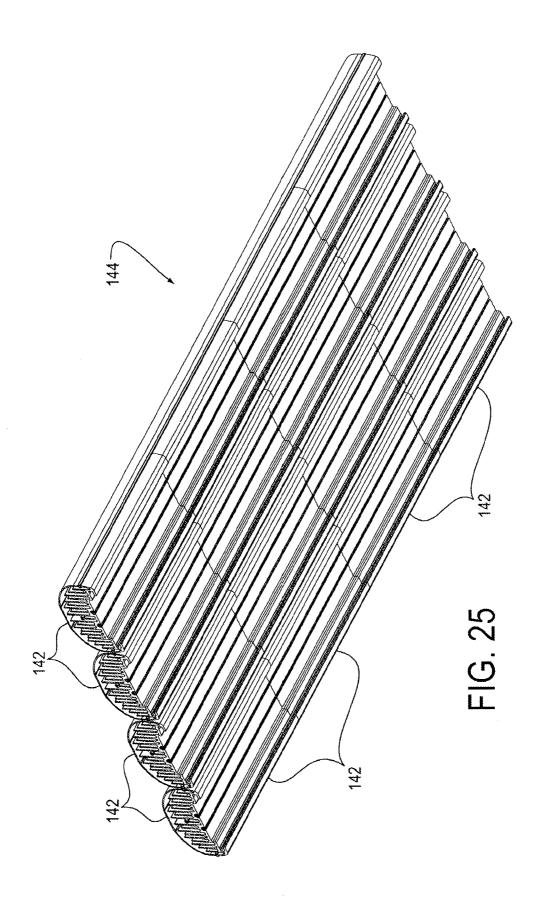
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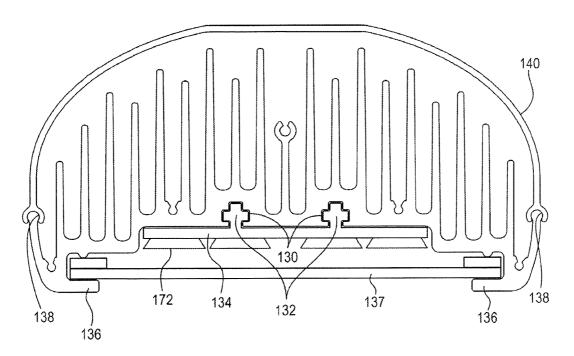
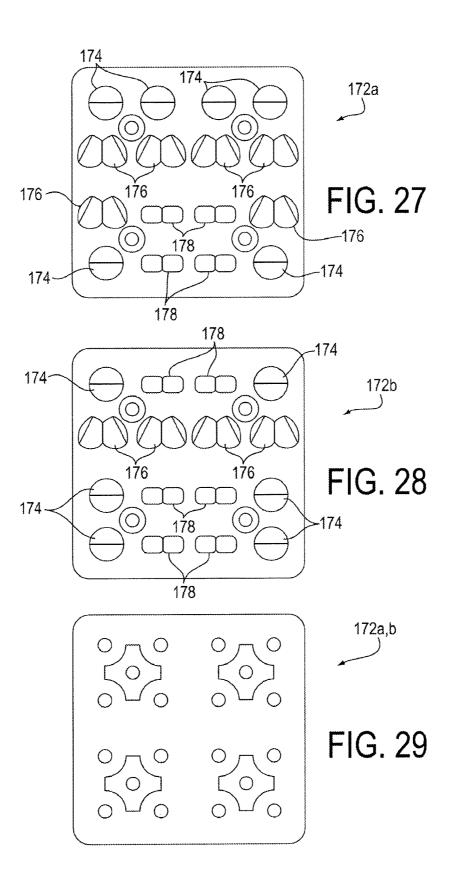


FIG. 26

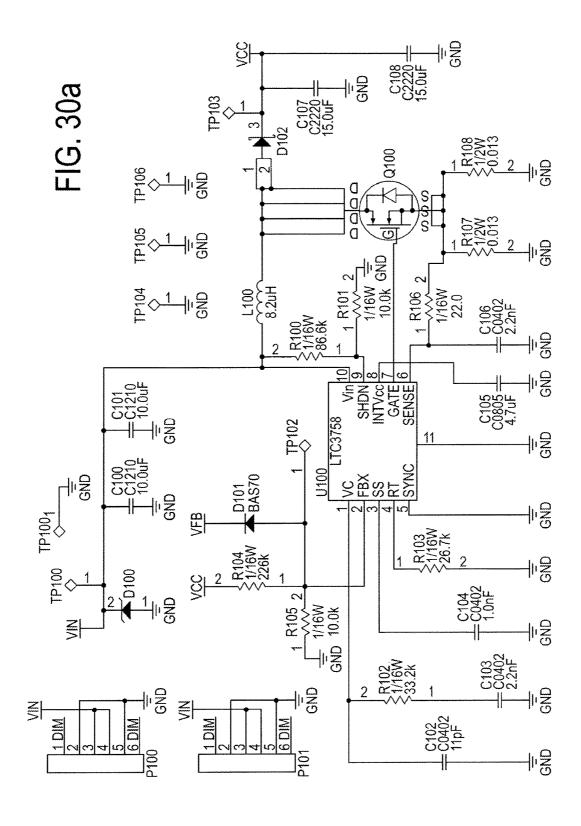
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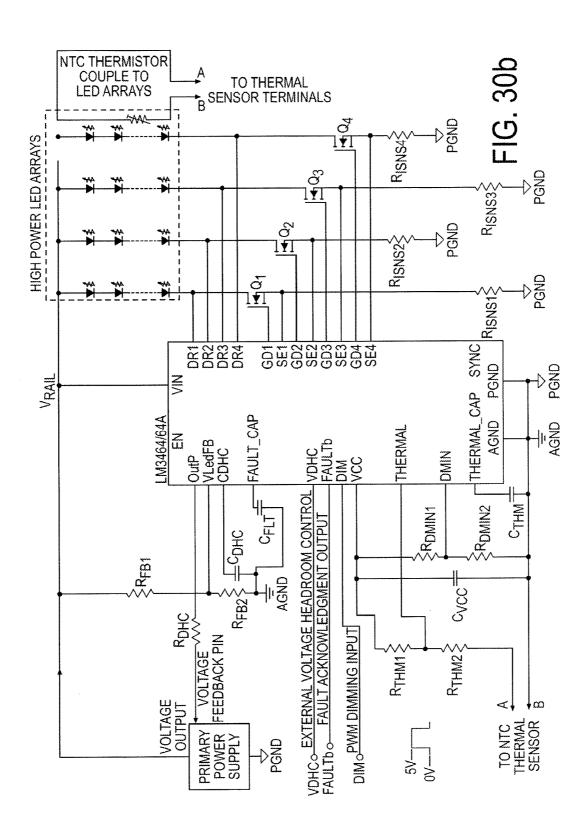
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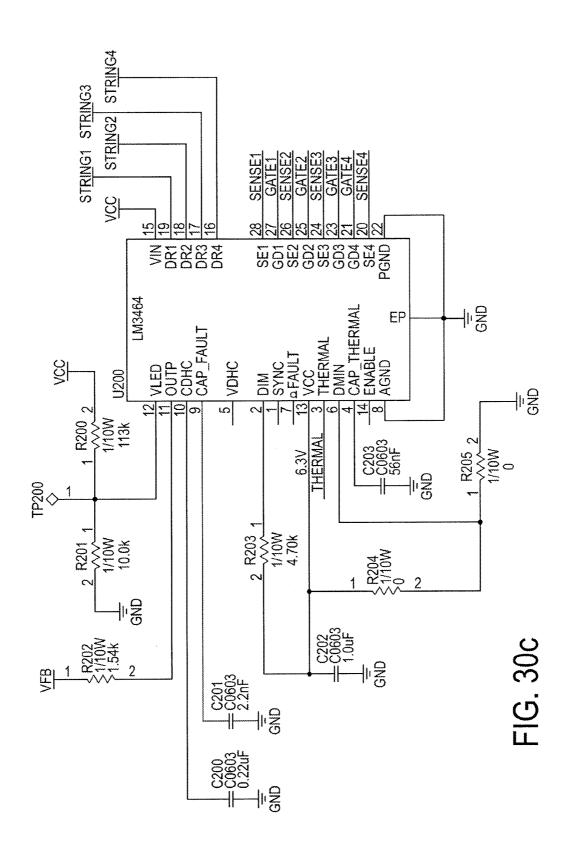
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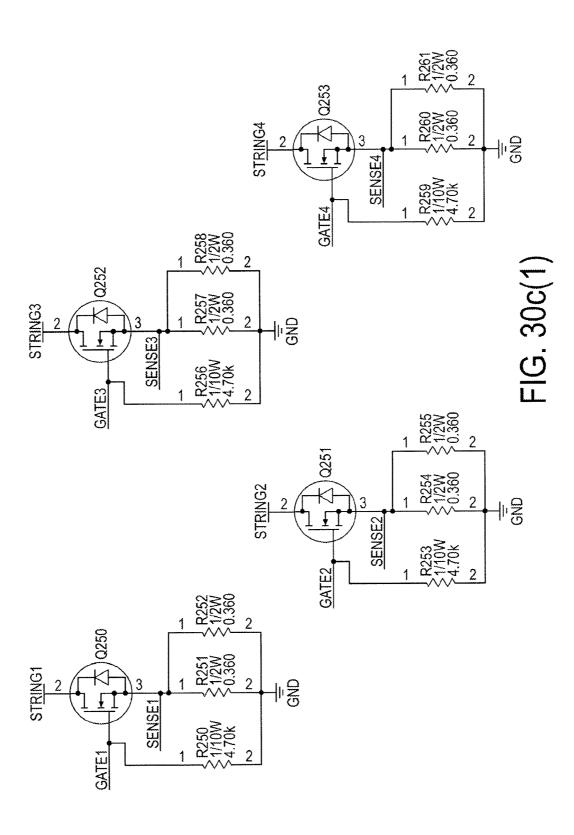
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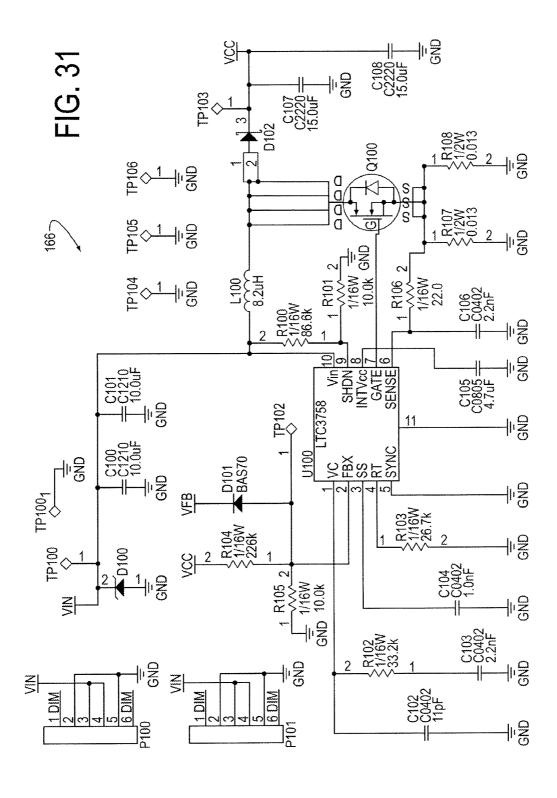
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HIGH INTENSITY LIGHT-EMITTING DIODE LUMINAIRE ASSEMBLY

CROSS-REFERENCE TO RELATED APPLICATIONS

This application claims priority to and the benefit of: (i) U.S. provisional patent application Ser. No. 61/570,072, entitled "High Intensity Light-Emitting Diode (LED) Luminaire and Methods for Making Same," filed Dec. 13, 2011, 10 (ii) Ser. No. 61/712,226, entitled "High Intensity Light-Emitting Diode Luminaire Assembly," filed Oct. 10, 2012, and (iii) International patent application Serial No. PCT/ US2012/069442, filed Dec. 13, 2012, each of which is incorporated herein by reference in its entirety

1. TECHNICAL FIELD

The present invention relates to high intensity lightemitting diode (LED) array technology. The invention fur- 20 ther relates high intensity LED luminaires and high intensity LED luminaire (HILL) assemblies and methods for making them.

2. BACKGROUND OF THE INVENTION

High intensity light-emitting diode (LED) array technology is currently used to provide lighting in a wide range of applications in which the user needs high intensity illumination.

One drawback of existing high intensity LED luminaire (HILL) assemblies (also known as high intensity LED light fixtures or high intensity LED light fittings) is poor thermal management, which drastically reduces product lifespan. Extended operation of LEDs at temperatures significantly 35 above ambient is not possible with existing HILL designs, yet such assemblies throw off large amounts of heat which are not adequately dispersed.

Another drawback of existing luminaire or HILL assemblies is their "throw-away" design. Lack of in-field service- 40 ability leads to disposal of the entire luminaire assembly rather than replacing its electronics. This wastes resources, since many components that are still serviceable. Furthermore, existing HILLs lack balanced current control and can be prone to "thermal runaway," which in turn can cause 45 premature failure of the luminaire and shorten its lifespan.

Another drawback of existing HILLs is off-angle glare: when an overhead luminaire is operating, users at ground level see spikes of light intensity emitted by the luminaire, rather than consistent and even illumination over the entire 50 illuminated field.

Citation or identification of any reference in Section 2, or in any other section of this application, shall not be considered an admission that such reference is available as prior art to the present invention.

3. SUMMARY OF THE INVENTION

A high intensity LED luminaire (HILL) assembly is provided comprising:

- a LED module comprising:
 - a LED array comprising a plurality of LEDs positioned in the LED array, and
- a circuit board (also referred to herein as a circuit card), wherein the plurality of lenses is positioned adjacent to the plurality of LEDs;

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a heatsink: and

driver circuitry for driving the LEDs in communication (or operatively connected) with the circuit board.

In one embodiment, the heatsink is a heatsink housing.

In one embodiment, the LED module comprises the secondary lens element.

In another embodiment, the HILL assembly comprises a plurality of LED modules comprising secondary lens ele-

In another embodiment, the plurality of lenses comprises at least two different types of LED lenses.

In another embodiment, the circuit board employs thermal via technology.

In another embodiment, the HILL assembly comprises a lens for attenuating a light source as seen from an angle and for reducing off-angle glare.

In another embodiment, the LED module is removable and/or replaceable from the heatsink or heatsink housing.

In another embodiment, the plurality of LEDs is mounted on the circuit board.

In another embodiment, the plurality of LEDs is arranged in a circular or rectilinear array on the circuit board.

In another embodiment, the driver circuitry is disposed on 25 its own driver circuitry card.

In another embodiment, the driver circuitry is disposed on the circuit board.

In another embodiment, at least one lens of the plurality of lenses is a concavo-convex lens (CCL).

In another embodiment, the plurality of lenses is formed as (or forms or is configured to form) at least one lens matrix.

In another embodiment, the at least one lens matrix can be screwed down on top of its respective LEDs to vary size and shape of a field illuminated by each LED.

In another embodiment, the heatsink or heatsink housing is formed as a single unit.

In another embodiment, the heatsink or heatsink housing comprises a plurality of fins.

In another embodiment, the heatsink or heatsink housing is formed as a single unit and comprises a plurality of fins.

In another embodiment, the circuit board is disposed transversely of the fins at an end of the heatsink or heatsink housing.

In another embodiment, the circuit board is disposed parallel to the fins.

In another embodiment, the HILL assembly comprises a plurality of LED modules.

In another embodiment, the HILL assembly comprises a sensor for sensing and/or providing data relating to the HILL assembly or to environmental parameters.

In another embodiment, the HILL assembly comprises a

In another embodiment, the sealing lens comprises a 55 frosted lip for attenuating a light source as seen from an angle.

In another embodiment, the HILL assembly comprises a power supply attached to the driver circuitry.

In another embodiment, the LEDs are dimmable by pulse 60 width modulation (PWM).

In another embodiment, the LED module has an operational temperature range from about -40° C. to about +80°

In another embodiment, the heatsink or heatsink housing a secondary lens element comprising a plurality of lenses, 65 is provided with at least one mating feature (e.g., T-slot) for enabling a modular connection with a second LED module and for connecting the circuit card to the heat sink, and the

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LED module is provided with at least one corresponding mating feature (e.g., T-mating feature).

In another embodiment, the circuit board comprises via technology, wherein the via technology comprises a conductive backing selected from the group consisting of copper and graphene.

In another embodiment, the HILL assembly comprises a DC power supply. In another embodiment, the DC power supply comprises an AC-DC converter for converting incoming AC voltage between 100v and 480v AC to 24v DC operating voltage.

In another embodiment, the driver circuitry comprises feedback circuitry for constantly balancing power input to each of a plurality of LED strings.

In another embodiment, the driver circuitry allows the plurality of LEDs to be continuously operated at operating temperatures up to 80° C. while providing an output of 100 lumens/watt from each LED.

In another embodiment, the heatsink or heatsink housing 20 portion of one bank of LED lamp assembly cutaway. is a linear, one-piece, finned, metal heatsink. FIG. 18 is an enlarged view of the portion of FIG.

In another embodiment, the HILL assembly comprises at least one joiner.

In another embodiment, the HILL assembly comprises at least one bracket.

A bracket for a HILL assembly is also provided. In one embodiment, the bracket comprises an extruded aluminum bracket body comprising at least one arm, wherein the bracket body is formed to produce a cavity and wherein the cavity is configured to receive a power supply; and a bracket arm cover for each arm of the bracket body, wherein the bracket body is configured for multiple angular mounting options (wall, ceiling, pendant, etc.).

A method for maintaining a constant LED color temperature and/or a color rendering index in a lighting assembly (e.g., a HILL assembly) is also provided. The method can comprise the steps of using constant drive current, thereby decreasing photon emissions; and varying pulse width modulation (PWM).

4. BRIEF DESCRIPTION OF THE DRAWINGS

The present invention is described herein with reference to the accompanying drawings, in which similar reference 45 characters denote similar elements throughout the several views. It is to be understood that in some instances, various aspects of the invention may be shown exaggerated, enlarged, exploded, or incomplete to facilitate an understanding of the invention.

- FIG. 1 is a perspective drawing of a first embodiment of a HILL assembly in accordance with the present invention.
- FIG. 2 is an exploded perspective view of the embodiment shown in FIG. 1.
- FIG. 3 is a plan view of a lens element comprising a 55 plurality of concavo-convex lenses (CCLs) for use in the first embodiment for flood lighting.
- FIG. 4 is a cross-sectional view taken along line 4-4 in FIG. 3.
- FIG. 5 is a plan view of a LED array for use in the first 60 embodiment.
 - FIG. 6 is a side view of the LED array shown in FIG. 5. FIG. 7 is a perspective view of an outer frosted dome lens
- for use in a low bay application of the first embodiment.
- FIG. 8 an exploded perspective view and an assembled 65 view of a LED module (also referred to herein as LED replacement module) in accordance with the present inven-

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tion comprising a LED array, thermal gaskets, interface plate, a current balancing controller FR4 circuit card, and a power supply connector.

FIGS. 9 and 10 respectively are an end view and a perspective view of a heatsink housing as shown in FIGS. 1 and 2

FIG. 11 is a side view of the HILL assembly shown in FIGS. 1 and 2 further comprising an ambient light sensor and an occupancy sensor.

FIGS. 12 through 14 are perspective views showing various mounting configurations that can be achieved with the first HILL assembly embodiment shown in FIG. 1.

FIGS. **15** and **16** are perspective views from above and below, respectively, of a second embodiment of a HILL assembly in accordance with the present invention.

FIG. **16***a* is an enlarged view of the portion of FIG. **16** shown in circle **16***a* showing the joiner with mating edges.

FIG. 17 is a view like that shown in FIG. 15 showing a portion of one bank of LED lamp assembly cutaway.

FIG. 18 is an enlarged view of the portion of FIG. 17 shown in circle 18.

FIG. 19 is an end view of a finned heatsink.

FIG. **20** is a first exploded perspective view from above ²⁵ of the second embodiment shown in FIG. **15**.

FIG. 21 is a second exploded perspective view from below of the second embodiment shown in FIG. 15.

FIG. 22 is a plan view of an idealized 16-lens module in accordance with the present invention.

FIG. 23 is a perspective view of the idealized lens module shown in FIG. 22.

FIG. **24** is a plan view of a modular circuit board assembly in accordance with the present invention.

FIG. 25 is an isometric view of an exemplary multiple-module assembly of HILLs in accordance with the second embodiment shown in FIG. 21.

FIG. 26 is an end view of an exemplary single-module HILL in accordance with the second embodiment shown in FIG. 21.

FIG. 27 is a plan view of a first exemplary embodiment of a 16-lens modular subassembly in accordance with the present invention.

FIG. **28** is a plan view of a second exemplary embodiment of a 16-lens modular subassembly in accordance with the present invention.

FIG. 29 is a plan view of the reverse side of either of the first and second embodiments shown in FIGS. 27 and 28.

FIGS. 30a, 30b, 30c, 30c(1), and FIG. 31 are electrical drawings of LED driver circuitry in accordance with the present invention.

5. DETAILED DESCRIPTION OF THE INVENTION

A high intensity LED luminaire (HILL) assembly (also known in the art as a high intensity LED light fixture, high intensity LED light fitting or LED luminaire) is provided. The HILL assembly can be used indoors or outdoors, and in wet, damp or dry environments. In various embodiments, the HILL can be powered by a universal AC (90-480 VAC, 47-440 Hz) or a DC (12-480 VDC) electrical supply. The operational temperature range for the HILL is from about -40° C. to about +80° C. In a preferred embodiment, the HILL assembly comprises a heatsink, referred to herein as a "heatsink housing," that serves the dual purpose of functioning as the housing for the HILL assembly and as the heatsink for the HILL assembly.

A HILL assembly in accordance with a first embodiment of the present invention can comprise a LED module that is replaceable (or exchangeable or interchangeable) having a plurality of LEDs disposed in a LED array; an array of concavo-convex lenses (CCLs, also known in the art as "concave meniscus" lenses) disposed adjacent to the LED array; a thermal insulator; a heatsink housing; a power supply and associated circuitry; and a circuit board for controlling the LED array by thermal via technology. The LEDs are mounted directly on the circuit board. A first 10 embodiment HILL assembly is well adapted for use, for example, as a floodlight, spotlight, worklight, or hand-held flashlight.

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In a second embodiment, the LEDs are arranged in a circular or rectilinear modular array. Modules in the modular array may be ganged together into larger units for use in, for example, overhead room lighting, desk lighting, street lighting, or stadium lighting. Lenses can be adjustable to vary the size and shape of the field illuminated by each LED. In certain embodiment, the power supply driving circuitry can 20 be positioned or mounted directly on the circuit board.

In various embodiments, the HILL assembly can be used for lighting indoor or outdoor areas or for flood lighting. It can be used in high bay or low bay applications. It will be apparent to the skilled artisan that the HILL assembly has 25 many uses for illuminating commercial or industrial settings, but can also be used in residential settings. The commercial or industrial settings in which the HILL assembly can be used can include, but are not limited to, offices, manufacturing facilities, warehouses, parking garages, ball 30 parks, stadiums, and storage areas.

For clarity of disclosure, and not by way of limitation, the detailed description of the invention is divided into the subsections set forth below.

5.1. High Intensity LED Luminaire (HILL) Assembly—First Embodiment

Referring now to FIGS. 1-16, a first embodiment 10 of a HILL assembly in accordance with the present invention 40 comprises at least a secondary lens element 12 comprising a plurality of CCLs 14; a LED module 16, comprising a plurality of LEDs 18 equal in number to the number of CCLs 14, positioned in a first LED array 20; a heatsink (also referred to herein as a heatsink housing) 22; and a power 45 supply 24 disposed within heatsink housing 22. Embodiment 10 further comprises a first O-ring 28; first and second thermal gaskets 30; an interface plate 32; a second O-ring 34 for sealing heatsink housing 22 at the front end; a third O-ring 36 for sealing heatsink housing 22 at the rear end; a 50 back plate 38; a junction box 40; and sealing gaskets 42. Lens Element

HILL assembly 10 comprises a single secondary lens element 12 (FIGS. 2-3) with one or more CCLs 14 integrated into the lens element. Lens element 12 is also referred 55 to herein as a secondary lens, because the primary lens is a part of the actual LED chip itself. Lens element 12 is preferably made of injection molded plastic, and more preferably, a high temperature acrylic. Other materials, such as borosilicate glass or an optical grade polyycarbonate, can also be used. In first embodiment 10, lens element 12 can comprise a plurality of CCLs 14 (in this embodiment, 36 in number, see FIGS. 1-3) integrated into a singular lens element. As shown in FIG. 4, the concave lens surface 25 of each CCL 14, in combination with the convex lens surface 65 26 of the CCL, creates wide dispersion optics. After assembly of embodiment 10, each LED 18 is positioned within the

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concavity of one of the concave surfaces 24 when the lens element 12 is positioned on or attached to the LED array 20 (FIGS. 4-6). In some embodiments, the outer edges 19 of lens element 12 (FIGS. 3-4) can be frosted to reduce off-angle glare.

FIG. 7 shows a perspective view of a different embodiment of a secondary lens element 12A for use in a low bay application. FIG. 7 contains one or more CCLs 14 integrated into the lens element. Secondary lens element 12A comprises a hexagonal lens 14A that is used to make a more uniform distribution of light on the ground for individual LEDs in LED array 20.

LED Array

HILL assembly 10 comprises a LED array 20 comprising a plurality of LEDs 18 positioned in the array (see FIGS. 2, 5 and 6). Any suitable LED or plurality of LEDs known in the art can be used in the LED array 20. Each of the LED elements 18 comprises a primary lens.

HILL assembly 10 also comprises one or more securing screws 44 (FIGS. 5 and 8) or any other suitable securing fastener, adhesive, clamp, spring pin, or tensioning device that secures the LED array 20 in place. In first embodiment 10, a plurality of screws 44 (a plurality of 10 screws in the embodiment illustrated in FIGS. 5 and 8) are used to secure the various components of the HILL assembly, including the LED array 20, to interface plate 32 (see FIGS. 2 and 8). In a preferred embodiment, the LED array 20 is a component of a LED module 16 ((also referred to herein as a LED "replaceable" or "replacement" module; FIGS. 2 and 8), which can be removed in the field (i.e., where it is installed) through removal of the securing screws 44. This enables the LED module 16 comprising the LED array 20 to be exchanged, interchanged or replaced as desired by the user.

The LED array 20 comprises a circuit board or card 46 35 (FIG. 5) for controlling the LED array. Preferably, the circuit card 46 is a FR4 circuit board, which is a low-cost fiberglass reinforced epoxy laminate known in the art that is flame retardant. Although metal clad circuit cards known in the art, such as metal core printed circuit boards (MCPCB) can also be used, their use is less preferred, since they have a much greater cost than FR4 circuit boards. In a preferred embodiment, circuit board 46 employs FR4 with thermal via technology to provide a low cost circuit board that performs thermally equivalent or better than a metal clad circuit board. Incorporating thermal vias into board design is well known in the art, and mitigates thermal issues by lowering the thermal resistance of the circuit card itself, (see, e.g., Cree, Inc. (2010), Optimizing PCB Thermal Performance for Cree® XLamp® LEDs, Technical Article CLD-AP37 REV 1, Cree, Inc., 4600 Silicon Drive, Durham, N.C. 27703, available at http://www.cree.com/products/pdf/XLamp_P-CB_Thermal.pdf (last visited Dec. 13, 2011); IPC 7093: Design and Assembly Process Implementation for Bottom Termination SMT Components ISBN 1-580986-90-0, available for purchase at http://www.ipc.org, Table of Contents available free at http://www.ipc.org/TOC/IPC-7093.pdf (last visited Dec. 13, 2011)).

The LED array 20 preferably comprises parallel strings of LEDs 18, which arrangement confers several advantages. First, this allows for graceful degradation as the array ages. For example, in an embodiment with four parallel strings of LEDs, two of the four strings can fail and the light will still provide the rated lumen output. Second, the LED array does not experience hot spots that reduce LED lifespan. By balancing the current through each string to be within 1% of one another, the LED array runs at a uniform temperature. Hot spots form on a LED array when designs use parallel

strings without current balancing. As the array powers up, most of the current travels down the string with the lowest voltage potential. Since not all LEDs are created equal, this will happen. When a single string uses more current than the other strings, those LEDs heat up faster than the others. As 5 the string heats up, the LEDs' voltage potential drops, which in turn causes the LEDs to consume more current from the cooler strings. This effect is known in the art as "thermal runaway" and causes LED arrays to fail prematurely.

In contrast to existing HILL assemblies that have LED arrays either permanently bonded to the heatsink housing 22 or connected through use of a thermal paste, a HILL assembly 10 in accordance with the present invention comprises a LED module 18 comprising a LED array 20 that is $_{15}$ a removable and/or exchangeable component and not a permanently installed component of the HILL assembly. The LED array 20 is preferably a component of a LED module 18, but can also be a separate, exchangeable component within the scope of the present invention. Preferably, the 20 LED module 18 comprises pre-installed thermal gaskets or pads 30, so that the end user does not have to apply any thermal paste (which is a tedious, delicate process) when exchanging or replacing the LED module. When installed, the LED module 18 is thermally in contact with heatsink 25 housing 22, which is a high-efficiency finned, thermal radiator, so that heat is dispersed from the module via the heatsink. The LED module 18 is mounted on and removed from the heatsink housing 22 via securing screws 48 (FIG. 2) or other suitable fasteners known in the art, which can, for 30 example, be threadedly received in bores 50 (FIG. 9). The LED module 18 is operably connected electrically through preferably one (preferably keyed) power supply connector (or single connection plug) 52 (FIG. 8) that is attached to interface plate 32 via screws 54 (FIG. 8). In another embodi- 35 ment, a T-slot connection can be used for operably connecting LED modules.

Heatsink or Heatsink Housing

HILL assembly 10 can comprise a heatsink that also functions as a heatsink housing 22 (FIGS. 2, 9 and 10) that 40 is an open-die, finned metal extrusion formed preferably of an aluminum alloy. It will be recognized by the skilled artisan that other designs known in the art can be used, including but not limited to die castings and split extrusions. The open-die finned extrusion design is preferably used, as 45 it offers a good combination of low price and high performance. Prior art luminaires typically have heatsink bodies that comprise two halves that are bolted or bonded together to form the heatsink. By contrast, the open-die heatsink is one piece, which has numerous benefits (i.e. low cost of 50 assembly 10 can be ganged with other like fixtures to make, assembly, sealing potential, etc.).

Thermal extrusion of the heatsink housing 22 can be used for efficient thermal management. The finned design generates a large surface area over a small length, effectively pulling heat away from the LED components. Additionally, 55 the open cavity 56 of the heatsink housing serves as the housing for internal components, comprising at least one power supply 24. In various embodiments, the open cavity 56 can house a plurality of power supplies.

Heatsink housing 22 comprises a groove 23 (FIG. 9) 60 surrounding the openings of cavity 56 at each end of the housing. An O-ring 34, 36 (e.g., an elastomeric O-ring) is disposed in each groove 23 for sealing cavity 56 by engaging interface plate 32 and back plate 38, respectively (FIG. 2).

Heatsink housing 22 can also comprise fins 62 (FIGS. 2, 65 9 and 10). In certain embodiments, the fins 62 are capable of multi-angle positioning. In another embodiment, the fins can

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be longitudinal. In other embodiments, the fins can be arranged transversely or radially.

In certain embodiments, the heatsink housing is waterproof and/or submersible.

In another embodiment, the mounting bracket 60 is capable of multi-angle positioning.

Components for Positioning and Reducing Off-Angle Glare

Prior art luminaires reduce off-angle glare through the addition of a spun metal or refractive plastic shield, which is an additional cost. These shields are relatively large and totally block off-angle glare but do not block the dispersion of the light source, thus wasting off-angle light output of the device.

The secondary lens 12 of the first embodiment of the HILL assembly 10 can comprise a frosted lip 19 (FIG. 4) that can be integral to the secondary lens element 12 that serves to partially attenuate the light source as seen from an angle. The cost of integrating this frosted lip 19 into the secondary lens is negligible and can be done, for example, as part of the injection molding process for forming lens element 12.

In another embodiment, the HILL assembly can comprise a mounting bracket 60 (FIG. 2) or any other mounting system known in the art for mounting or securing a luminaire or other lighting element. Other suitable mounting brackets for luminaires are well known in the art. In specific embodiments, the mounting bracket or system can swivel, pivot or provide multi-positioning of the HILL assembly.

As a single fixture, HILL assembly 10 supports free hanging, ceiling, wall, stanchion, and pendant mounting and can optionally comprise a sensor for sensing an environmental parameter of interest, such as an ambient light sensor 64 and/or an occupancy sensor 66 (FIG. 11). Such sensors are well known in the art and commercially available. As will be apparent to the skilled practitioner, such optional components can be installed in a number of suitable configurations for sensing an environmental parameter of inter-

For example, in one embodiment, the ambient light sensor **64** has the ability to generate a user-defined light output profile, as described further below, based on user defined schedules or personnel detection and ambient light measuring. Light output is adjusted based on currently available light, to save the user energy by not duplicating light.

In another embodiment, the occupancy sensor 66 turns the light on/off based on user-defined time intervals.

Because HILL assembly 10 has a brick-like design that supports multi-module ganging or stacking, a single HILL e.g., a spot light 68 (FIG. 12), arena/stadium light 70 (FIG. 14), or a linear fixture for wider angle lighting 72 (FIG. 13). By employing a modular design for HILL assembly 10 and using industry standard open interfaces, the design is flexible and upgradeable.

Because HILL assembly 10 has instant on/off capabilities, it can be used in power management schemes to generate user-defined light output profiles based on user-defined schedules or personnel detection and ambient light measuring. HILL assembly 10 can be used to generate light output based on detection of currently available light (e.g., with optional ambient light sensor 66) and to save the user energy by not duplicating light. In addition, in embodiments in which an occupancy sensor installed, HILL assembly 10 can detect, and be used in, power management schemes that switch the light on or off based on user-defined time inter-

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In one embodiment, HILL assembly 10 can predict or indicate how much time is left on the light until the lumen output decays below the L70 level (70% of lumen output at time=0 hours).

In another embodiment, HILL assembly 10 can comprise 5 a programmable timer or timing function. Such programmable timers or timing functions are well known in the art.

Table 1 presents ranges of dimensions for various elements of HILL assembly 10, as well as the dimensions of one preferred embodiment. It will be apparent to the skilled 10 artisan that other suitable dimensions can be easily determined for the components of the HILL listed below, as well as for other components described herein.

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A plurality of formed channels 124 receive a plurality of screws 126 that secure end cap 128 (also referred to herein as gable end cap) to heatsink 122, as shown in FIGS. 19 and 20. End cap 128 preferably includes at least one opening 129 (FIG. 20) as an intake for convectional cooling air for the fins in heatsink 122. The aspect ratio of the end cap 128 allows for a vortex to drive heat from the finned region of heatsink housing 122 and allows for driving the LEDS at higher currents than in the prior art to yield higher light output, as described in more detail below. The heatsink housing 122 can have, for example, two slits cut to increase airflow, therefore reducing the temperature of the HILL assembly.

TABLE 1

	Length (in)			Width (in)			Height (in)		
Part	Preferred	Max	Min	Preferred	Max	Min	Preferred	Max	Min
Secondary Lens 12	7	24	0.5	7	24	0.5	0.88	6	0.1
LED Array 20	6.15	24	0.25	6.15	48	0.25	0.06	0.25	0.01
LED Module 16	7	24	0.25	7	48	0.25	0.95	6	0.1
Heatsink 22	7	14	0.5	7	14	0.5	11	24	0.1
Mounting Bracket 60	8.17	48	0	7.45	48	0	2	6	0

5.2. High Intensity LED Luminaire (HILL) Assembly—Second Embodiment

A HILL assembly is also provided that is based on a modular design. In various embodiments, the HILL assembly can comprise modular lensing provided by, e.g., a lens matrix, a plurality of LED modules or modular LED arrays, joiner brackets that allow for modularity and custom angular positioning of light output, modular heatsink housing(s) and high power modular circuits (that can operate, for example, at high temperatures).

The modular lensing allows for mixing and matching of distribution patterns, which can provide precise, including but not limited to custom, optical controls.

The LED modules or modular LED arrays can have a shared cover design that allows for stacking or joining of multiple modules without the need for fasteners. An advantage of this design is that it does not interfere with the function of the heatsink. The design can allow heat to be pulled with convectional heat transfer.

The cover can also allow for modules to be attached by a joiner bracket as separate units with the cover holding them together. In a specific embodiment, a power supply can be positioned in the middle of such a modular arrangement.

Referring now to FIGS. **15-31**, a second embodiment **110** 55 of a HILL assembly in accordance with the present invention is shown. FIGS. **15** and **16** are perspective views from above and below, respectively, of this second embodiment.

HILL assembly **110** comprises a linear, one-piece, finned, metal heatsink housing **122** formed preferably by extrusion 60 of an aluminum alloy (see FIGS. **18-21**). Heatsink housing **122** forms the structural frame of assembly **110** and comprises several features that permit attachment of essentially all the other components of assembly **110**, as described below.

The HILL assembly can be thermally optimized for heat transfer through gable and ridge vent thermal optimization.

A plurality of mating features, e.g., T-slots 130 can receive mating features (e.g., T-mating features) 132 extending from LED module (also referred to herein as LED subassembly) 134, as shown in FIGS. 18, 21, and 26, providing secure mounting and thermal connection of LED module 134 to heatsink housing 122. The use of T-slots increases the efficiency of the HILL assembly, and allows, for example, for mixing LED arrays together on a common track.

Opposed lips 136 receive opposed edges of a sealing lens
137, protectively enclosing LED module 134, and in certain
embodiments, rendering it waterproof. In one embodiment,
the sealing lens can be made of a transparent thermoplastic
such as poly(methyl methacrylate) (PMMA).

A joiner (or "joiner bracket") 140 is also provided. Outer beaded fins 138 can receive mating edges of the joiner 140 in either a single-module HILL assembly, as shown in FIG. 26, or optionally in a multiple-module HILL assembly. In one embodiment, this joiner is configured to allow for angular positioning to direct light output to meet specific needs.

In one embodiment, a HILL assembly module 142 can comprise a heatsink housing 122, a LED module 134, and a sealing lens 137. Such a HILL assembly module 142 may be employed singly or ganged in a wide variety of configurations determined by lighting requirements and space. For example, a single module assembly can form a desk lamp or under-cabinet kitchen lamp; a double module assembly such as is shown in FIGS. 15 and 16, can be useful as an overhead shoplight or for general overhead illumination by ceiling installation; and larger still assemblies, such as 16-module assembly 144 shown in FIG. 25, can be useful for wide area floodlighting as in stadiums or arenas. Referring to FIG. 20, to make the HILL assembly modular, a joiner 140 is used to fasten or connect heatsink housings together.

A bracket arm **148** is connected to either the endcap or the joiner **140** on each side of the HILL assembly. The bracket arms **148** can contain or enclose the wiring for the power

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supply 150 and connect to each side of the power supply casing 146. A bracket cover 149 can cover the bracket arm. Inside the casing 146, at least one DC power supply 150, and in a specific embodiment, two DC power supplies 150, can be attached. The power supply casing permits cooling of the 5 power supply 150.

Referring now to FIGS. 21 through 24, in a presently-preferred embodiment, each HILL assembly module 142 comprises two LED modules 134 disposed end-to-end (shown for clarity without sealing lens 137). To assemble 10 HILL assembly module 142, the T-features 132 of each LED module are simply entered into the mating T-slots 130 in heatsink housing 122.

The HILL assembly can have on-board "string" control and current sharing, i.e., constant on-time current control. 15 Referring now to FIGS. 24-31a-c, each LED module 134 can comprise a circuit board 160 upon which are mounted 64 LEDs 162 arranged in four rows of 16 LEDs each. Preferably, the LEDs 162 in each row are wired in series and the rows 164, also referred to herein as "strings", are wired in parallel. Circuitry 166 provides constant current to the LEDs such that if one LED fails, the circuit auto-adjusts to provide more current to the remaining LEDs, thus restoring total light output. HILL assembly module 142 includes a power supply 150 (FIG. 17) that includes a DC-DC converter that 25 can downstep any incoming voltage from up to 390v down to 12v operating voltage. Such a low voltage step-down converter enables high efficiency of the HILL assembly.

Circuitry **166** for driving the LEDs **162** is mounted directly on circuit board **160**, allowing the constant current ³⁰ feature just described, and is connected to first and second multi-prong connectors **168***a*, **168***b* at opposite ends of circuit board **160**, allowing connection to adjacent modules **134**.

Because circuitry 166 is designed specifically for these 35 applications and is not bought off-the-shelf, circuitry 166 can be formed, in one embodiment, as an integral element of circuit board 160. This results in greatly increased electrical efficiency of 100 lumens/watt, whereas prior art systems typically operate in the range of 80 lumens/watt. Because the 40 light output is greater, there is less waste electrical energy and less heat generated, e.g., thermal density is reduced. This permits LEDs 162 to run continuously at only 80° C. or lower, whereas prior art systems being driven at such high light outputs must run at greater than 100° C. and are 45 therefore prone to thermal runaway and failure.

Because circuitry **166** can operate at constant current, the power flowing to the individual LED strings **164** is balanced, preventing thermal runaway. Referring to FIG. **30**, LED driver **180** (LM3464) includes a feedback loop that continuously balances power feed to minimize temperature on each LED string **164**.

The HILL assembly can have optimized thermal transfer from the LED array to the heatsink housing through a thermally conductive material. In one embodiment, to disperse and equalize the heat generated by LEDs 162, each LED can be positioned on the front side of circuit board 160 adjacent at least one via element (not visible) extending through circuit board 160 into connection with a via backing (not visible) on circuit board 160 formed of a thermally 60 conductive material such as copper, beryllium oxide, aluminum, FR4, or graphene.

A secondary lens 170, similar to secondary lens 12 in first embodiment 10, is disposed over each LED 162. Lenses 170 preferably are formed in modular lens matrices (also 65 referred to herein as lens subassemblies) 172 of lenses, e.g., a 4×4 array of 16 lenses (although other suitable lens

matrices can be determined by the skilled artisan) arranged in a square matrix that can be screwed down on top of its respective LEDs, as shown in FIGS. 22-24.

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To vary the size and shape of the field illuminated by each LED, the individual lenses 170 may be varied in capability, as shown in modular lens matrices (also referred to herein as lens subassemblies) 172a and 172b in FIGS. 27 and 30, respectively. A plurality of lenses comprising at least two different types of LED lenses can be used. Preferably, LED lenses standardized by the Illumination Engineers Society of America (IESA) are employed. For example, a module 172a, 172b may comprise a plurality of Type 5 ("round") lenses 174, a plurality of Type 3 ("butterfly") lenses 176, and a plurality of Type 2 ("skinny") lenses 178. It will be apparent to the skilled artisan than many different combinations of lenses can be made. Thus because of this modular matrix lensing, a HILL assembly is provided wherein different IESA standard lens types can be provided within the same light fixture.

The lenses in the modular lens matrix can be rotated or adjusted to achieve different lighting configurations with the same HILL assembly.

In one embodiment, each HILL assembly module **142** is preferably about 12 inches long and the 64 LEDs **164** are powered to emit 64,000 lumens of light. Other suitable module lengths (in the range, for example, of 6-12 inches, 12-24 inches, 24-35 inches) will be readily apparent the skilled artisan. Modules can be used to create any desired configuration of modules, e.g., 1×2, 1×3 m 1×4 m 2×2, 3×3, 3×4, 4×4 etc.

A currently preferred embodiment, as shown in FIG. 21, provides a 24-inch heatsink housing comprising two HILL assembly modules 142. An advantage of this design is that modular lighting can be constructed in modular sections (e.g., 12 inch sections) that can be customized in many different configurations.

Referring now to FIGS. 24, 30a, 30b, 30c, 30c(1), and 31, driver circuitry 166 allows variation of the voltage across the LED strings to a minimal value required to maintain a constant current through all four strings. This ability to vary the output voltage to a minimal value decreases the power loss of those strings that require less voltage across the LEDs. This arrangement also allows the flexibility to change the number of LEDs per string as well as the number of LED strings (1 to 4) without the need to purchase a new constant current supply as in prior art arrangement. In the present invention, this function uses a single constant voltage power supply.

In typical prior art applications, a constant current supply is utilized without the use of circuitry to vary the output voltage, meaning that the constant current power supply's output voltage just climbs to whatever voltage the highest LED string needs. The other strings that require less voltage need to dissipate the excess power created by the high voltage setting which leads to inefficient and less reliable designs. In the prior art, if the number of LEDs were to change, a new version of constant current power supply would need to be selected.

In certain embodiments, the LEDs **162** can be dimmable by pulse width modulation (PWM). Thus, the HILL assembly can also comprise pulse width modulation (PWM) circuitry. The PWM circuitry converts the 0-10V analog signal to a PWM signal.

A method for maintaining a constant LED color temperature (CCT) and/or a color rendering index (CRI) in a lighting assembly (e.g., a HILL assembly) is also provided. The method comprises the steps of using constant drive current,

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thereby decreasing photon emissions; and varying pulse width modulation (PWM). These steps together provide overall dimming of the light output at frequencies higher than are currently on the market. Constant CCT and CRI are maintained while dimming from 100% to 0% because of this pulse width modulation (PWM).

With PWM come the benefits of maintaining a constant LED color temperature (CCT) and color rendering index (CRI). Preferably, PWM is conducted at higher frequencies in the range of 10 kHz to 20 kHz to comply with high definition cameras/filming. The higher frequency range also allows elimination of any audible noise in applications where necessary to do so.

In a specific embodiment, pulse width modulation (PWM) can be used at a frequency of 10 GHz to 24 GHz as a method to dim the light output. This frequency range allows the light output to be dimmed at an optimal frequency that is ideal for not interfering with other visible activities, including, but not limited to high definition television recording and broadcasting. Using PWM to dim the light output maintains the 20 Color Rendering Index (CRI) and Color Temperature (CCT) of the LED.

In certain embodiments, the HILL comprises a 0-10V dimmer circuit. This allows the advantage of using a commercially available 0-10V dimmer switch, but such a switch ²⁵ does not provide a pulse width modulation (PWM) output.

In one embodiment, the HILL assembly is preferably IEC6929 annex compliant (on board) for 0-10V operation.

In a preferred embodiment, a HILL assembly comprises both a 0-10V dimmer circuit and a pulse width modulation (PWM) circuit. Positioning the circuitry adjacent to, or in association with the LEDs is particularly preferred. 2) there is constant voltage 3) pulse width modulation.

5.3. Methods for Making HILL Assemblies

The HILL assemblies disclosed herein can be made using conventional manufacturing techniques known in the art. The construction of the elements of the HILL assemblies will be readily apparent to the skilled practitioner. For 40 example, heatsinks can be produced by conventional extrusion techniques. Power supplies and circuit boards are also made using conventional methods. No special manufacturing techniques or manufacturing environments are needed to produce the assemblies.

5.4. Index for Numbered Elements

- 10 HILL assembly, first embodiment
- 12 lens (or secondary lens) element
- 14 CCLs
- 16 LED module
- **18** LEDs
- 19 frosted lip
- 20 first LED array
- 22 heatsink housing
- 23 groove surrounding the openings of cavity 56
- 24 power supply
- 25 concave lens surface of each CCL 14
- 26 convex lens surface
- 28 first O-ring
- 30 first and second thermal gaskets
- 32 interface plate
- 34 second O-ring for sealing heatsink housing 22 at the front end
- 36 third O-ring for sealing heatsink housing 22 at the rear end back plate

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- 38 back plate
- 40 junction box
- 42 sealing gaskets
- 44 securing screws
- 46 circuit board or card
- 48 securing screws
- 50 bores
- 52 power supply connector
- 54 screws
- 56 open cavity
- 60 mounting bracket
- 62 fins of heatsink housing 22
- 64 ambient light sensor
- 66 occupancy sensor
- 68 spot light
- 70 arena/stadium light
- 72 linear fixture for wider angle lighting
- 110 HILL assembly, second embodiment
- 122 linear, one-piece, finned, metal heatsink housing
- 124 channels
- 126 screws
- 128 end plate
- 129 opening in end plate
- 130 T-slots
- 132 mating T-features
- 134 LED module
- 136 opposed lips
- 137 sealing lens
- 138 outer beaded fins
- 140 joiner 140
- 142 HILL assembly module
- 144 16-module assembly
- 146 power supply casing
- 148 bracket arm
- 149 bracket cover
- 150 power supply
- 151 electrical access cover
- 152 power supply wires
- 154 openings to allow venting of heated air from the fins
- 156 bottom panel
- 160 circuit board
- **162** LEDs
- 166 driver circuitry for driving the LEDs
- 168a, 168b first and second multi-prong connectors
- 170 secondary lens
- 172, 172a and 172b lens matrix
- 174 round lenses
- 176 butterfly lenses
- 178 skinny lenses
- 180 LED driver

The present invention is not to be limited in scope by the specific embodiments described herein. Indeed, various modifications of the invention in addition to those described herein will become apparent to those skilled in the art from 55 the foregoing description. Such modifications are intended to fall within the scope of the appended claims.

While embodiments of the present disclosure have been particularly shown and described with reference to certain examples and features, it will be understood by one skilled in the art that various changes in detail may be effected therein without departing from the spirit and scope of the present disclosure as defined by claims that can be supported by the written description and drawings. Further, where exemplary embodiments are described with reference to a certain number of elements it will be understood that the exemplary embodiments can be practiced utilizing either less than or more than the certain number of elements.

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All references cited herein are incorporated herein by reference in their entirety and for all purposes to the same extent as if each individual publication, patent or patent application was specifically and individually indicated to be incorporated by reference in its entirety for all purposes.

The citation of any publication is for its disclosure prior to the filing date and should not be construed as an admission that the present invention is not entitled to antedate such publication by virtue of prior invention.

What is claimed is:

- 1. A light emitting diode (LED) luminaire assembly, comprising:
 - a LED module comprising an array of a plurality of LEDs; a circuit board:
 - a secondary lens structure comprising an array of lenses, wherein the lenses comprise concavo-convex lenses such that each lens creates wide dispersion optics, and wherein the lenses are positioned so that each LED is positioned entirely within a concave surface of a corresponding lens element lens;
 - a heatsink; and
 - driver circuitry for driving the LEDs in communication with the circuit board.
 - 2. The LED luminaire assembly of claim 1, wherein: the heatsink comprises a housing that includes a plurality of channels and a plurality of mating features for that mount and provide a thermal connection of the LED module to the housing; and

the assembly further comprises:

- a sealing lens that protectively encloses the LED module within the housing, and
- a mounting bracket capable of multi-angle positioning of the housing.
- 3. The LED luminaire assembly of claim 1, wherein: the plurality of lenses in the secondary lens structure are formed in a plurality of modular lens matrices, and at least two of the matrices comprise different lenses.
- **4**. The LED luminaire assembly of claim **1**, wherein the $_{40}$ LED assembly comprises one or more gaskets and is removable from the heatsink.
- **5**. The LED luminaire assembly of claim **1**, wherein the lenses of the secondary lens structure are integrated into a singular lens element and are comprised of plastic, acrylic, 45 glass or polycarbonate.
 - 6. The LED luminaire assembly of claim 1, wherein: the LED array comprises a plurality of parallel strings of LEDs; and

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- the driver circuitry is configured to provide a constant current to the LED so that voltage delivered to each string of LEDs is automatically adjusted if any LED in the string fails.
- 7. The LED luminaire assembly of claim 1, wherein the driver circuitry further comprises pulse width modulation circuitry configured to enable dimming of the LEDs by varying pulse width modulation.
- 8. The LED luminaire assembly of claim 1, wherein the driver circuitry further comprises feedback circuitry for balancing power input to each of a plurality of LED strings.
 - **9**. The LED luminaire assembly of claim **1**:
 - wherein a housing of the heatsink comprises a plurality of fins: and
 - the assembly further comprises an end cap that is secured to the heatsink and which includes at least one opening that is an intake for convectional cooling air into the heatsink which drives heat away from the fins of the heatsink housing.
- 10. The LED luminaire assembly of claim 1, further comprising a sensor for sensing or providing data relating to the LED luminaire assembly or to environmental parameters.
 - 11. The LED luminaire assembly of claim 1, further comprising:
 - a power supply; and
 - a bracket body comprising at least one arm configured to support the assembly wherein the bracket body provides a cavity that holds the power supply.
 - 12. The LED luminaire assembly of claim 1, wherein the secondary lens structure further comprises a hexagonal lens configured to create a uniform distribution of light from the plurality of LEDs.
 - 13. The LED luminaire assembly of claim 2, wherein the sealing lens comprises a frosted lip for attenuating light emitted by the assembly.
 - 14. A method of operating a LED luminaire assembly comprising a LED module comprising a plurality of LED strings each comprising a plurality of LEDs, a circuit board, a secondary lens structure comprising an array of lenses, a heatsink, and driver circuitry, the method comprising:
 - driving the plurality of LED strings at a constant current and varying pulse width modulation;
 - wherein the lenses elements of the secondary lens structure comprise concavo-convex lenses such that each lens creates wide dispersion optics, and wherein the lens elements are positioned so that each LED is positioned entirely within a concave surface of a corresponding lens.

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